

# Trawl-Door Shape Optimization Using Space-Mapping-Enhanced Kriging Surrogates

Ingi Mar Jónsson

Thesis of 60 ETCS credits

Master of Science in Mechanical Engineering

January 2016



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# Trawl-Door Shape Optimization Using Space-Mapping-Enhanced Kriging Surrogates

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January 2016

#### **Abstract**

Trawl-doors are one of the main contributor of resistant produced by trawlers fishing gear, that has considerable effects on fuel consumption. A key factor in reducing that consumption is by implement computational models in the design process. This study presents a robust two dimensional computational fluid dynamics models, that are able to capture the non-linear flow past multi-element hydrofoils. Efficient optimization algorithms are applied to the design of trawl-doors, using problem formulation that captures true characteristics of the design space where lift-to-drag ratio is maximized. Four to seven design variables are used in the optimization process depending on the model, they control angle of attack, position and orientation of a slat or slat and flap. The optimization process involves both multi-point space mapping, and mixed modelling techniques that utilizes space mapping to create a physics-based surrogate model. The results demonstrate that lift-to-drag maximization is more appropriate than lift-constraint drag minimization and that local search using multi-point space mapping can yield satisfactory design at low computational cost. By using Global search with mixed modelling a solution with higher quality will be obtained, but it is not as computationally efficient as multi-point space mapping

#### Bestun á lögun toghlera með rýmis-vörpuðum Kriging líkönum

Ingi Mar Jónsson

Janúar 2016

#### Útdráttur

Toghlerar eru einn af þeim meigin þáttum sem hafa áhrif á mótstöðu í veiðarfærum togara, og hafa þeir því talsverð áhrif á eldsneytiseiðslu þeirra. Lykil þáttur í að draga úr eldsneytiseyðslunni er að innleiða straumfræðileg tölvulíkön í hönnunarferlið. Í þessari ritgerð eru kynnt traust tvívíð tölvulíkön sem eru næm á ólínuleika í streymi yfir samsett vængsnið. Skilvirkum bestunar algrímum er beitt við hönnun á toghlerum, þar sem hönnunar forsendan er bætt hlutfall á milli lyfti- og mótstöðu stuðuls. Notast er við fjórar til sjö hönnunarbreytur í bestunar ferlinu eftir því hvaða lögun verið er að skoða, þar sem breyturnar stjórna aðfallshorni, staðsetningu og halla á slatta og/eða flappsa. Við bestunarferlið er notast við fjölpunkta svæðis kortlagningu, og samsett líkan sem einnig notast við svæðis kortlagningu við gerð staðgöngu líkans. Niðurstöðurnar sýna fram á að bestun þar sem markmiðið er að hámarka hlutfallið á milli lyfti- og mótstöðu stuðuls á betur við en að lágmarka mótstöðu með skorður á lyfti stuðli ásamt því að aðferð fjölpunkta svæðis kortlagningar skilar ásættanlegum niðurstöðum mjög skilvirkt ef litið er til reiknitíma. Með blandaða líkaninu náðist hinsvegar betri lausn á vandamálinu, en ekki með jafn skilvirkum hætti og fyrri aðferð.

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## **Publications**

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# **List of Abbreviations**

2D Two-Dimensional3D Three-dimensional

CFD Computational Fluid Dynamics

ME Main Element
TE Trailing Edge
LE Leading Edge

RSA Response Surface Approximation

SM Space Mapping LF Low-Fidelity HF High-Fidelity

LSH Latin Hypercube sampling

SM Space Mapping

V&V Verification and Validation

# **List of Symbols**

 $\begin{array}{ll} \alpha & \text{Angel of attack} \\ \alpha_{stall} & \text{Stall angle of attack} \\ \alpha_0 & \text{Zero lift angle of attack} \end{array}$ 

c Chord length

 $c_{ME}$  Chord length of main element

 $\begin{array}{ll} c_{\mathit{slat}} & \text{Chord length of slat} \\ c_{\mathit{flap}} & \text{Chord length of flap} \\ V_{\infty} & \text{Free stream velocity} \end{array}$ 

 $\begin{array}{ll} \theta_{flap} & \quad \text{Flap angle} \\ \theta_{slat} & \quad \text{Slat angle} \end{array}$ 

# Chapter 1

### Introduction

#### 1.1 Motivation and Background

Rising fuel prices have a significant impact on fisheries operating costs. Fuel consumed by the European Union fishing fleet alone was over 1,6 billion liters in 2011, and the second largest independent item of expenditure with 24% share of fisheries total operating cost [4].

The fisheries mobile gear segments are the heaviest fuel consumers. The trawlers have to tow the fishing gear which generates a large flow resistance. Therefore these vessels are equipped with high power engines that consume large amounts of fuel during towing. This fuel consumption is depends on the efficiency of the fishing gear, engines, and the vessels itself. Even though trawlers often travel greater distances to fishing grounds, the fuel consumed during trawling is up to  $80\,\%$  of the total consumption [5]. Thus, the design of the fishing gear is key for reducing the overall fuel consumption.

Typical trawlers fishing gear assembly consist of a large net, a pair of trawl doors (that serve the purpose of keeping the net open during trawling), and cables (which connect the net and the trawl doors to the trawler) as seen on Fig. 1.1. The net alone can be up to  $160 \,\mathrm{m}$  deep and  $240 \,\mathrm{m}$  wide [6], and the size of the trawl doors varies from  $1-20 \,\mathrm{m}^2$  depending on how much force is needed to keep the net open [7]. The cables on each side of the trawl gear varies in length from  $150-2.000 \,\mathrm{m}$  depending on the size of the fishing gear and method [8].

Resent work by Jonsson *et al.* [9] and Leifsson *et al.* [10] has demonstrated that hydrodynamic efficiency of modern trawl doors can be improved considerably using computational fluid dynamics (CFD) models and automated optimization techniques. CFD

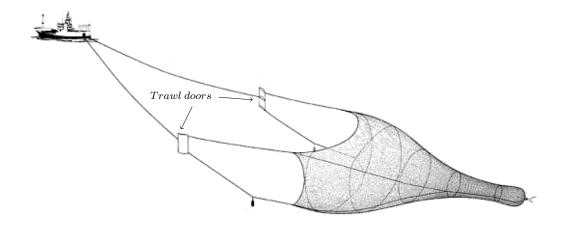


Figure 1.1: Typical assembly of trawlers fishing gear (Figure source from website<sup>1</sup>).



Figure 1.2: Typical trawl-door manufactured from highly cambered steel plates (Figure source from website<sup>2</sup>).

offers the capability of accurately analyzing the fluid flow past the trawl-door shapes to predict their performance characteristics. However, high-fidelity CFD models are computationally expensive. Since the design of trawl-door shapes involves a moderately large design space (typically, less than 10 design parameters), using CFD models directly with conventional optimization techniques (such as gradient-based ones) can be impractical. Therefore, prior work on trawl-door shape optimization [9, 10] has investigated the use of surrogate-based optimization (SBO) techniques [11, 12, 13, 14], to accelerate the design process.

<sup>1</sup> Source: http://njscuba.net/artifacts/ship\_fishing.html

 $<sup>^2</sup>$  Source: http://thyboron-trawldoor.dk/products/semipelagic-trawldoors/el-casador-xstream

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In Jonsson *et al.* [9], a variable-fidelity optimization method is used to speed up the design process. In particular, the method utilizes space mapping and low-fidelity CFD models to construct fast and reliable surrogates of computationally heavy high-fidelity CFD models. Satisfactory designs are obtained at low computational cost. However, the approach can be sensitive to numerical noise associated with the low-fidelity CFD model (the numerical noise levels are typically low in the high-fidelity models). Significant numerical noise present in the computational models may impact the optimization process since the design space will be multi-modal, and the low-fidelity model will not follow the overall trend of the high-fidelity model. In both these situations, the optimized designs may be different than the ones obtained by optimizing the high-fidelity model directly.

Leifsson *et al.* [10] used an iterative scheme with local response surface approximation (RSA) models of the expensive CFD trawl-door model constructed in each iteration. The RSA models are constructed using CFD data sparsely sampled in the vicinity of the current design, and a low-order polynomial approximation (to reduce the influence of the CFD model numerical noise on the optimization, as well as minimizing the number of required data samples). The size of the vicinity (i.e., the RSA model domain) is automatically adjusted in each iteration based on the performance of the model. The approach is efficient and yields good results at a relatively low cost. Nevertheless, the method utilizes only high-fidelity CFD model evaluations. There should be room for improving the optimization cost by utilizing variable-fidelity models.

#### 1.2 Research Objectives and Contributions

The objectives of this research work are to address the following areas of trawl-door shape optimization:

- 1. **Improve the CFD simulations:** A major part of this work is to enhance the grid generation of the CFD modeling to more accurately capture the nonlinearity of the flow past multi-element trawl-door shapes. This will yield more accurate and robust CFD analysis of trawl-door shapes. The enhanced CFD model will be validated against experimental data of the flow past multi-element airfoil shapes since data for trawl-door shapes is not publicly available.
- 2. **Investigate an alternative problem formulation:** Prior work in this area have used the drag coefficient as the figure of merit and used the lift coefficient as a constraint, i.e., lift-constrained drag minimization. In this work, we will improve

- the performance of trawl-door shapes by maximizing the lift-to-drag ratio. Thus, the optimization problem is converted from being constrained to unconstrained.
- 3. Improve the surrogate modeling: Use a mixed surrogate-based modeling approach to trawl-door shape optimization, i.e., construct a function approximation surrogate using physics-based models of varying fidelity. This approach has been successfully applied to simulation-based optimization in other areas such as microwave antenna and filter design [14]. In this thesis work, the space mapping technique is utilized to create a fast surrogate based on high- and low-fidelity model data. Then, the variable-fidelity surrogate is used to construct a global surrogate model of the design space using a function approximation technique, in this case kriging interpolation. This approach should alleviate some of the limitations of using only space mapping without compromising computational cost.

The contributions of the research are the combined outcomes of objectives 1, 2 and 3 and will yield a more robust and reliable SBO approach for design of trawl-door shapes.

#### 1.3 Thesis Outline

The formulation of the design problem (Objective 2) is given in Chapter 2. In Chapter 3, the computational fluid dynamics modeling and validation results are described (Objective 1). Chapter 4 presents the optimization and surrogate modeling approach (Objective 3). Results of applications of the optimization approaches are given in Chapter 5 (Objectives 1, 2, and 3). Chapter 6 concludes the thesis. Further details and results of several aspects of the work are given in the Appendices.

# Chapter 2

### **Problem Formulation**

The objective of this work is to optimize the shape and configuration of trawl-doors, where they are treated as an independent system. The conventional trawl-doors are not considered since recent studies have revealed that airfoil-shaped trawl-doors, as proposed by Jonsson [15] and Hermannsson [16] are much more efficient than the conventional shape. Here we consider trawl-doors that are constructed from airfoil-shaped elements with multi-element configurations. Three sets of configurations are presented, main element with leading-edge slat, trailing edge flap or both as shown in 2.1.

In this study there are two separate objective functions. First we minimize the drag coefficient for given lift. The problem is formulated as in study performed by Leifsson *et al.* [10]. That study is used as a comparative benchmark for the computational efficiency and quality of the solution acquired in this study. The subsequent objective function is to maximize the ratio between lift and drag, without any additional constraints.

Then the optimization problem is formulated as

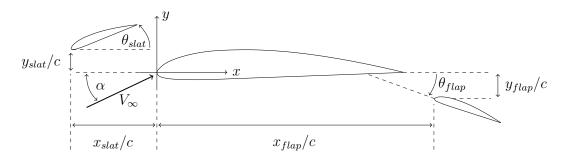


Figure 2.1: Two dimensional view of multi-element trawl-door constructed from airfoil shaped elements

$$\max C_l(\mathbf{x})/C_d(\mathbf{x}),\tag{2.1}$$

where  $C_l$  is the lift coefficient,  $C_d$  is the drag coefficient, and  $\mathbf{x}$  is the vector of design variables.

Here the shape of each element and the free-stream velocity  $V_{\infty}$  is held constant through the optimization process. The inclination and position of the leading-edge flap and the trailing-edge slat, as well as angle of attack are designable parameters. Then the vector of design variables for multi element trawl-door with leading-edge slat and trailing-edge flap can be written as

$$\mathbf{x} = \begin{bmatrix} x_{slat}/c & y_{slat}/c & \theta_{slat} & x_{flap}/c & y_{flap}/c & \theta_{flap} & \alpha \end{bmatrix}^T, \tag{2.2}$$

where  $x_{slat}/c$  is the slat leading-edge position on the x-axis,  $y_{slat}/c$  is the slat leading-edge position on the y-axis,  $\theta_{slat}$  is the inclination of the slat relative to the x-axis,  $x_{flap}/c$  is the flap leading-edge position on the y-axis,  $\theta_{flap}$  is the inclination of the flap relative to the x-axis,  $\alpha$  is the angle of attack relative to the x-axis and c is the chord length of the main element. Through this study the shape of the elements are normalized, so that c=1. All design variables are subjected to bounds, that is  $1 \le x \le u$ , where u is the upper bound of the design variable vector and l is the lower bound.

Then the problem can be re-written as

$$\mathbf{x}^* = \arg\min_{\mathbf{x}} H(\mathbf{f}(\mathbf{x})), \tag{2.3}$$

where  $\mathbf{f}$  is the high-fidelity model response, described in Chapter 4, and H is the objective function. The model response  $\mathbf{f}(\mathbf{x}) = \begin{bmatrix} C_{l,f} & C_{d,f} \end{bmatrix}^T$  where  $C_{l,f}$  and  $C_{d,f}$  are the lift and drag coefficients obtained by the high-fidelity model. Then for lift over drag maximization the objective function takes the form  $H(\mathbf{f}(\mathbf{x})) = -C_{l,f}(\mathbf{x})/C_{d,f}(\mathbf{x})$ .

## **Chapter 3**

# **Computational Fluid Dynamics Modeling**

This chapter describes the computational fluid dynamics (CFD) modeling for trawl-door flow analysis in two-dimensions. In particular, the governing equations, computational grid, and flow solver are described. Furthermore, the chapter gives results of grid independence studies, validation studies, and numerical noise analysis.

#### 3.1 Governing Equations

The flow is assumed steady, incompressible, and viscous. Governing equations are the Reynolds-Averaged Navier-Stokes (RANS) equations, derived from the conservation of mass and momentum principles.

The mass conservation (continuity) equation can be written as [17]

$$\frac{\partial \rho}{\partial t} + \nabla(\rho u) = 0 \tag{3.1}$$

where  $\rho$  is the fluid density, u is the velocity and  $\nabla$  is the Nabla operator  $(\partial/\partial y, \partial/\partial x, \partial/\partial z)$ . Since the working fluid is water the flow is considered incompressible, then equation 3.1 reduces to

$$\nabla u = 0. (3.2)$$

The conservations of momentum equation can be written as

$$\frac{\partial(\rho u)}{\partial t}(\rho \overrightarrow{u}) + \nabla(\rho \overrightarrow{u} \overrightarrow{u}) = -\nabla p + \nabla \overline{\overline{\tau}}$$
(3.3)

$$\frac{\partial}{\partial t}(\rho \overrightarrow{u}) + \nabla(\rho \overrightarrow{u} \overrightarrow{u}) = -\nabla p + \nabla \overline{\overline{\tau}}$$
(3.4)

where p is the fluid pressure, and  $\overline{\overline{\tau}}$  is the stress tensor given by

$$\overline{\overline{\tau}} = \mu \left[ \left( \nabla \overrightarrow{u} + \nabla \overrightarrow{u}^T \right) - \frac{2}{3} \nabla \overrightarrow{u} I \right]. \tag{3.5}$$

Here  $\mu$  is the dynamic viscosity and I is the unit tensor. Since compressibility and heat transfer is not considered in this study, the energy conservation equation is not solved.

To be able to effectively model the effect of turbulence, equations 3.1 and 3.1 are splitup to mean and fluctuating components  $u_i = \bar{u}_i + u'_i$ , where  $\bar{u}_i$  and  $u'_i$  are the mean and fluctuating components resulting in the Reynolds-averaged Navier-Stokes equations [18].

$$\frac{\partial \overline{u}_i}{\partial x_i} = 0 \tag{3.6}$$

$$\frac{\partial}{\partial t}(\rho \overline{u}_i) + \frac{\partial}{\partial x_j}(\rho \overline{u}_i \overline{u}_j) = -\frac{\partial p}{\partial x_i} + \frac{\partial}{\partial x_j} \left[ \mu \left( \frac{\partial \overline{u}_i}{\partial x_j} + \frac{\partial \overline{u}_j}{\partial x_i} \right) - \rho \overline{u'_i u'_j} \right]$$
(3.7)

The term  $-\rho \overline{u_i' u_j'}$  represents the Reynolds stresses, that has to be modelled with a turbulence model.

The Menter's Shear-Stress Transport (SST)  $k-\omega$  turbulence model was used to model this turbulence. The model is considered more accurate and reliable for flow over airfoils than the standard  $k-\omega$  model. The transport equations used to obtain the turbulence kinetic energy k and the specific dissipation rate  $\omega$  are written as [18]

$$\frac{\partial}{\partial t}(\rho k) + \frac{\partial}{\partial x_i}(\rho k u_i) = \frac{\partial}{\partial x_j} \left( \Gamma_k \frac{\partial k}{\partial x_j} \right) + G_k - Y_k + S_k$$
(3.8)

and

$$\frac{\partial}{\partial t}(\rho\omega) + \frac{\partial}{\partial x_j}(\rho\omega u_j) = \frac{\partial}{\partial x_j}\left(\Gamma_\omega \frac{\partial\omega}{\partial x_j}\right) + G_\omega - Y_\omega + D\omega + S_\omega. \tag{3.9}$$

Here the term  $G_k$  stands for production of turbulence kinetic energy,  $Y_k$  and  $Y_\omega$  is the dissipation of k and  $\omega$  caused by turbulence,  $G_\omega$  represents generation of  $\omega$ ,  $S_k$  and  $S_\omega$  are source terms defined by the user and  $D_\omega$  is the cross-diffusion term,  $\Gamma_k$  and  $\Gamma_\omega$  are the effective diffusivity of k and  $\omega$ . Refer to Tannehil  $et\ al$ . [19] for further details on the SST  $k-\omega$  turbulence model.

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#### 3.2 Flow Solver

For solving the governing equations in 3.1 the commercial computer code ANSYS Fluent 14.0 [20] is used. The solver is coupled velocity-pressure-based formulation. The spatial discretization schemes are second order for all flow variables and the gradient is found by node based Green-Gauss method [18]. Due to complex flow condition at high angles of attack a psuedo-transient option and high-order relaxation terms are used to obtain stable convergence.

The working fluid were water, set with density of  $998.2~kg/m^3$  and dynamic viscosity  $1.003 \times 10^{-3} Pa~s$ . The free-stream flow velocity for all optimization processes were set to 2m/s with Reynolds number  $Re = 2 \times 10^6$  to simulate the trawl-doors expected flow conditions [16].

The solution controls were set with Flow Courant number CFL as 40, momentum and pressure relaxation factors were 0.10. The under relaxation factors for both turbulent kinetic energy and specific dissipation rate were set to 0.8, while higher order term relaxation factor for flow variables were set to 0.25.

#### 3.3 Computational Grid

The goal was to have a grid with good quality, that could be generated both automatically and robustly according to updated geometries proposed by an optimizer. The far-field was configured with a box-topology, extending 100 main element chord-lengths c in all directions from the leading edge of the main element that was located in the center of the box, as seen on figure 3.1. The far-field has to be located relatively far away from the trawl-door so that the flow generated by the boundary conditions is fully developed at the trawl-door for consistency of the flow solution.

The grid was generated with the commercial code ICEM CFD [21]. Unstructured triangular grid was chosen, it is generated more automatically and robustly when dealing with complex geometries than structured grids [22]. The triangular grid is clustered around the trawl-door geometry, and grows in size as it gets closer to the far-field where the maximum size is defined as 10c. The initial size of the triangular grid, closest to the trawl-door is defined by the spacing between elements of the prismatic inflation layer that is extruded from all geometry surfaces. The inflation layer is to capture characteristics of the boundary-layer and spacing between elements in stream-wise direction is determined by performing a grid convergence study, as described in chapter 3.4. 10 prismatic layers

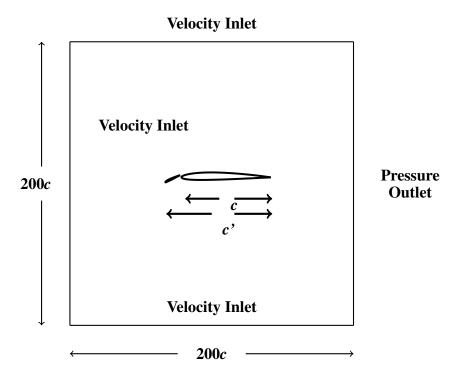


Figure 3.1: Configuration of the solution domain and boundary conditions. The leading edge of the trawl-door main element is located in the centre, and the box is extended 100 chord lengths c in all directions.

were extruded from the geometry with exponential growth ratio of 1.2 and the initial layer height were set to 2.5e-5 for the optimized geometries so that the non-dimensional wall distance  $y^+ < 1$ .

Both upper and lower curves of each element of the airfoil-shaped geometry are split in two curves, so that high quality inflation layer can be generated robustly at the leading-edge where there is high curvature and around the sharp trailing edge. In addition the spacing between nodes at both the leading and trailing edges are 0.5 times the general spacing, resulting in denser regions where the flow is more complex. an example grid can be seen on figure 3.2.

#### 3.4 Convergence Study

Iterative convergence were monitored by examining convergence history of the lift coefficient  $C_l$ , drag coefficient  $C_d$  and the residuals that are the sum of the L2 norms of the governing equations summed over all nodes. The convergence criteria were that the solution was converged after it had reached 6,000 iterations, or if the residuals had dropped by six orders in magnitude. After the solution were converged according to the criteria, the

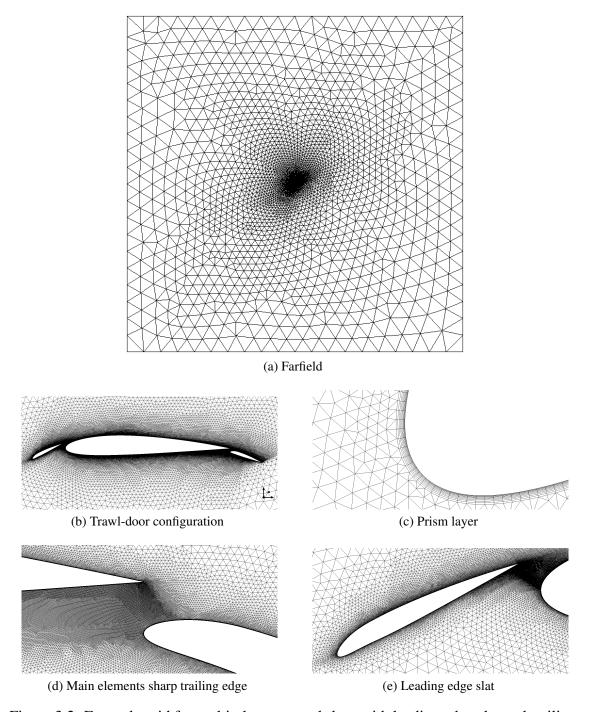


Figure 3.2: Example grid for multi-element trawl-door with leading edge slat and trailing edge flap

solution were considered acceptable if the residuals had dropped by 4 orders in magnitude and visual inspection of  $C_l$  and  $C_d$  had confirmed that the solution were stable. Figures 3.3 to 3.5 show an example of how the solution changes over number of iterations for grids with variable density.

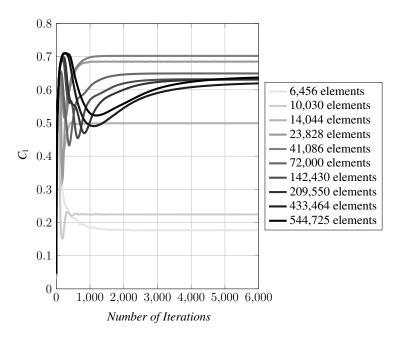


Figure 3.3: Convergence of lift coefficient  $C_l$  for ten grids with variable density

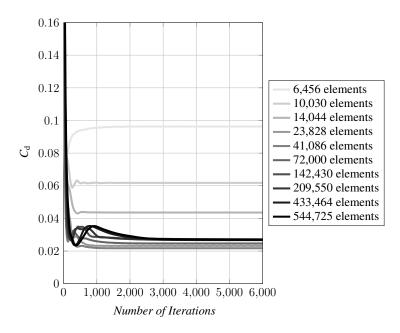


Figure 3.4: Convergence of drag coefficient  $C_d$  for ten grids with variable density

A grid convergence study were performed for all optimized geometries. At lest three grids were required to perform a convergence study. A representative cell size h for two dimensional grid is defined as [23]

$$h = \left[ \frac{1}{N} \sum_{i=1}^{N} (\Delta A_i) \right]^{1/2}, \tag{3.10}$$

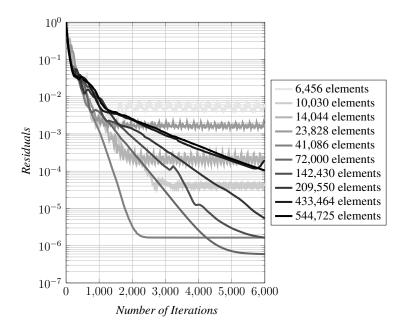


Figure 3.5: Solution residuals for ten grids used in the grid convergence study

where N is the total number of cells in the grid and  $\Delta A_i$  is the area of the i-th cell. Then the grid refinement factor r between two computational grids can be calculated with,

$$r = \frac{h_{coarse}}{h_{fine}} \tag{3.11}$$

where  $h_{coarse}$  stands for the coarser grid and  $h_{fine}$  represents the finer grid. The value of r close to 1 are inconvenient since the changes in the solution will be very small, and r close to 2 can be too large. Stern et.al [24] suggest  $r=\sqrt{2}$ , while Celik et.al [23] suggests r>1.3 based on experience. Since the grid used in this study is unstructured a constant r between grids that is  $r_{12}=r_{23}=r_{n+1}$  where n is the n-th grid is not feasible, since the number of cells in each grid were dependant of number of stream-wise nodes on the main element, as seen in section 3.3. In this study, number of stream-wise nodes on main element were doubled between each grid refinement starting on a coarse grid. The grid were refined until variables of interest  $C_l$  and  $C_d$  appeared stable, resulting in an refinement factor on the range 1.2 < r < 1.4 that were considered acceptable.

To identify the solution convergence condition, the solution change  $\varepsilon$ , defined as

$$\varepsilon_{k_{21}} = S_{k_2} - S_{k_1} 
\varepsilon_{k_{32}} = S_{k_3} - S_{k_2}$$
(3.12)

where  $S_k$  is the simulated solution for the k'th grid triplet and the subscript 1 represents the finest grid, 2 the medium grid and 3 the coarsest. Then the convergence condition  $R_k$ 

is the ratio between the solution change, defined [23]

$$R_k = \frac{\varepsilon_{21}}{\varepsilon_{32}} \tag{3.13}$$

The convergence ratio indicates the convergence conditions [23]:

- 1. Monotonic convergence,  $0 < R_k < 1$
- 2. Oscillatory convergence,  $R_k < 0$
- 3. Divergence,  $R_k > 1$

In this study all solutions experienced oscillatory convergence somewhere in the grid refinement process, that is due to slight noise in the unstructured grid. An uncertainty estimation were performed as proposed by Celik et.al [23] for oscillatory convergence, where uncertainties  $U_k$  are estimated with

$$U_k = \frac{1}{2}(S_U - S_L),\tag{3.14}$$

where  $S_U$  is the upper bound and  $S_L$  is the lower bound of the oscillating solutions. In this case the sign and magnitude of the error can not be estimated [23].

Figure 3.7 shows a grid convergence study performed with a grid generated as described in chapter 3.3, for a trawl-door with a main element and a leading edge slat as seen on figure 3.6. The main element is NACA 2412 and the leading edge slat is NACA 3210 placed at x=-0.18 y=-0.08. The length of the slat were 20% of the length of the main element and at an angle of 35°. The assembly were placed at an angle of attack of 5° and the velocity were set at 2m/s. The uncertainty for each grid triplet were estimated with the procedure above.

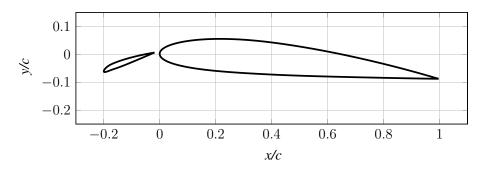


Figure 3.6: Trawl-door geometry used for the grid convergence study

Table 3.1 summarizes the result of the convergence study. It can be seen that the first three grid triplets consists of coarse and unreliable grids inheriting high uncertainties. The uncertainty reduces as the grids get finer and the solution more stabled, until it reaches

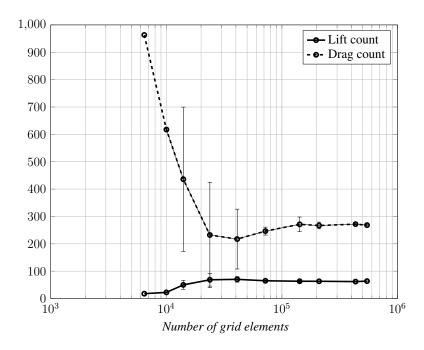


Figure 3.7: Grid convergence study for a trawl-door with uncertainty estimation

a minimum at grid triplet seven where the solution is considered converged. For that reason grid number 9 with 4,800 elements distributed over the main element in streamwise direction, resulting in total number of approximately 517,000 elements were chosen for the optimization process for this geometry. Additional convergence studies for other trawl-door geometries can be found in appendix B.

Table 3.1: Results of the grid convergence study for variables  $C_l$  and  $C_d$ , uncertainty estimation and conversion ratio for all grid triplets

$\underline{}$	$R_{lift}$	Lift count	$U_{ m Lc}$	$R_{drag}$	Drag count	$U_{\mathrm{Dc}}$
Grids 1 - 3	5.8	49.92	$\pm 16,10$	0.53	436.0	$\pm 263,6$
Grids 2 - 4	0.68	68.47	$\pm$ 23,01	1.1	232.2	$\pm 192,7$
Grids 3 - 5	0.094	70.22	$\pm$ 10,15	0.073	217.4	$\pm 109,3$
Grids 4 - 6	-3.0	64.93	$\pm 2,644$	-1.9	246.4	$\pm 14,54$
Grids 5 - 7	0.33	63.19	$\pm$ 3,515	0.85	271.1	$\pm 26,87$
Grids 6 - 8	0.063	63.08	$\pm$ 0,926	-0.17	267.0	$\pm 12,33$
Grids 7 - 9	10	61.93	$\pm$ 0,627	-1.2	271.8	$\pm 2,398$
Grids 8 - 10	-1.5	63.70	$\pm$ 0,882	-0.27	268.3	$\pm 2,398$

Since efficient computational time is one of the objectives of this research the grid convergence study can be used to evaluate the efficiency of the grid chosen, and demonstrates the importance of limiting high fidelity evaluations in the optimization process. Figure 3.8 shows how the computational time increases as the total number of elements grow,

resulting in a computational time of 170 minutes for the grid chosen, with convergence criteria as explained in chapter 3.4.

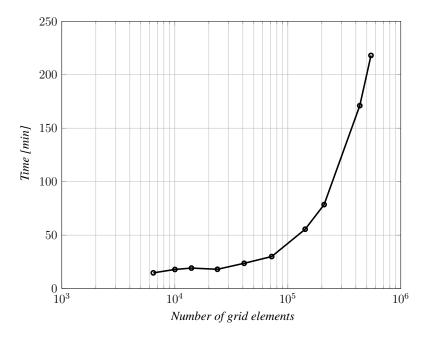


Figure 3.8: Computational time as a function of grid density

#### 3.5 Validation

The purpose of the model validation is to estimate how the CFD model performs compared analytical or physical data. The first comparison were made using only one element, NACA 0012 since it has simple geometry being symmetric over the chord line and well documented [1, 25, 2]. The grid were structured according to section 3.3 and a grid convergence study were performed as seen in section 3.4. The CFD model were compared to wind tunnel data from Abbot and Von Doenhoff from Theory of Wing Sections [1], and data from Ladson [2]. The Ladson data is for Re = 4.0e6 instead of Re = 3.0e6 like the other cases. His data for Re = 2.0e6 show that the effect of Re in this region is negligible, therefore the results for Re = 4.0e6 were considered comparable in the validation process. In the Ladson data the transition were fixed at 0.05 c at both the upper and lower surfaces of the airfoil, the data used for comparison in this study are with grit No. 60-W. Figure 3.9 shows comparison on the lift coefficient  $C_l$  as a function of  $\alpha$  between the CFD data and the wind tunnel data. The Thin Airfoil Theory lift curve is plotted for comparison as well.

There is a good agreement between the CFD model and the experimental data. Ladson experiences stalling at slightly lower  $\alpha$ , the difference is under one degree while the CFD

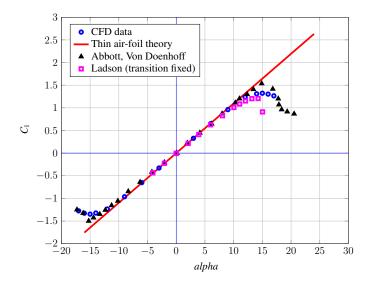


Figure 3.9: Model validation for NACA 0012 airfoil at Re = 3.0e6 showing  $C_l$  as a function of alpha. The red line represents the lift slope according to the Thin Airfoil Theory. The blue dots represent the CFD data and the black triangles are wind tunnel data obtained by Abbott et.al. [1]. The magenta boxes represent the Ladson data where the boundary layer transition were fixed at 0.05c [2].

model stall angle is similar to the Abbot and Von Doenhoff data. The main difference is in  $C_l$ , max, where that Abbot and Von Doenhoff measure  $C_l$ , max over 1.54 compared to 1.21 in Ladsons study, while the CFD model lies between the two experiments with  $C_l$ , max = 1.32.

Thin Airfoil Theory tell us that symmetric airfoils have zero lift at  $\alpha=0$ , and the lift slope should be symmetric about the origin. The CFD model should show these characteristics in the case of NACA 0012 airfoil, if the model is correctly implemented. At  $\alpha=0$  the CFD model estimates  $C_l=0.0009498$  that is close to zero and shows sign of numerical noise at third to fourth decimal point. Figure 3.10 shows the difference in symmetry about  $\alpha=0$  and  $C_l=0$ , by comparing the absolute values of  $C_l$  and  $\alpha$ . The model shows signs of negligible noise while the flow is attached at third to fourth decimal point, similar to  $\alpha=0$ . When the flow starts to separate near  $\alpha_{stall}$ , the lift curves show more sign of numerical noise. Still the difference in Cl is within 0.025 where it is the most at  $\alpha=15$ .

If the drag coefficient is examined as seen in figure 3.11, that shows a drag polar comparison between the CFD model, Ladson and Abbot, Von Doenhoff experimental data. For comparison a solution for  $C_d$  were calculated for a flat plate at zero angle of attack with

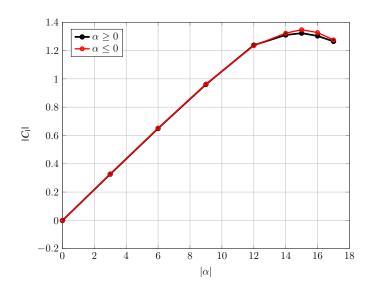


Figure 3.10: Difference in lift coefficient symmetry about  $\alpha=0$  and  $C_l=0$  for NACA 0012 airfoil at Re = 3.0e6. The red circles represents CFD data for  $\alpha \leq 0$ , while the black circles represent data for  $\alpha \geq 0$ .

equation 3.15 that estimates the frictional drag coefficient [26]

$$C_f = \frac{x_{cr}}{c} \frac{1.328}{\sqrt{Re_{cr}}} + \frac{0.074}{Re^{1/5}} - \frac{x_{cr}}{c} \frac{0.074}{Re_{cr}^{1/5}}.$$
 (3.15)

Here  $Re_{cr}$  is the critical Reynolds number where the transition as said to occur and  $x_{cr}$  is the point of transition between the laminar and the turbulent flow, estimated with

$$x_{cr} = \frac{\mu Re_{cr}}{\rho V_{\infty}}. (3.16)$$

 $Re_L$  is the Reynolds number for flow over flat plate defined,

$$Re = \frac{\rho V_{\infty} L}{\mu}.$$
 (3.17)

where  $\rho$  is the fluid density,  $V_{\infty}$  is the free stream velocity and L is the plate length.

The critical Reynolds number used were Re = 1.0e6 [17]. The pressure drag coefficient  $C_{pd}$  were considered to be 15% of  $C_f$ , as found out in Lombardi *et al.* [25] results for the NACA 0012 airfoil, that is considered reasonable for a blunt object at zero angle of attack [17]. Abbot and Von Doenhoff measure  $C_d$  considerably lower than the CFD model and Ladson, and even lower than in case of the flat plate. The location of boundary layer transition is hard to predict and can vary between experiments. Since Ladson fixed the location of transition it can be assumed from this comparison that Abbot and Von Doenhoff experience boundary layer transition further down the wing, even further than

in case of the flat plate where the transition occurs at 0.16c. The CFD model lies between Abbot and Von Doenhoff results and ladsons, where the CFD model lies near ladsons results at lower angles of attack where the lift is moderate and then deviates towards Abbot and Von Doenhoff results as  $\alpha$  increases.

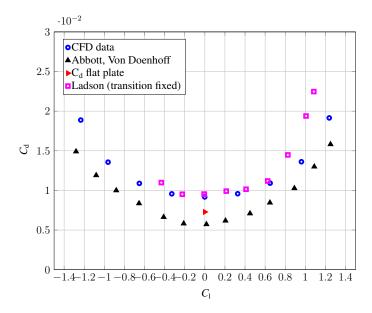


Figure 3.11: Model validation drag polar for NACA 0012 airfoil at Re=3.0e6. The blue dots represent the CFD data and the black triangles are wind tunnel data obtained by Abbott et.al. [1]. The magenta boxes represent the Ladson data where the boundary layer transition were fixed at  $0.05\ c$  [2]. The red triangle is an estimation of  $C_d$  over a flat plate at  $\alpha=0$ .

The CFD model is more extensively validated by comparing its results to wind tunnel tests of a NASA supercritical airfoils, performed by the Boeing Company for the National Aeronautics and Space Administration NASA [27]. The report contains extensive collection of 2D wind tunnel data for several models at various angles of attack and Reynolds numbers. The models of interest in this study is single ME, ME with flap, ME with slat and ME with slat and flap since they resemble the trawl door geometry the most.

The example validation presented here consists of a main element with a trailing edge flap as seen on figure 3.12, or Model B N1WC1F1 as named in the NASA report. The CFD model were constructed with a mesh as described in chapter 3.3, the convergence criteria were according to chapter 3.4 and convergence study performed according to same chapter. The grid were considered converged after stream-wise nodes on the main element had reached 4,800 nodes, resulting in a total of 603,880 elements. Comparable data were lift coefficient  $C_l$  as a function of angle of attack as seen on figure 3.13, lift coefficient as a function of the drag coefficient  $C_d$  as senn on figure 3.14 and the pressure coefficient  $C_p$  over both the main element and the flap for  $-10^{\circ}$ ,  $0^{\circ}$  and  $9^{\circ}$  angle of attack as seen on

figure D.4. Experimental results obtained at  $Re=2.01\times 6$  were chosen for the validation process, since it close to the flow conditions used for the optimization process where the free stream velocity  $V\infty$  is 2m/s.

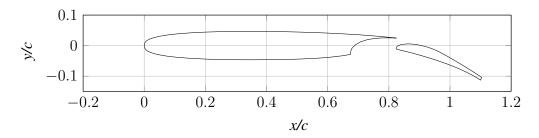


Figure 3.12: Geometry of NASA Model B N1WC1F1 airfoil used for the validation process

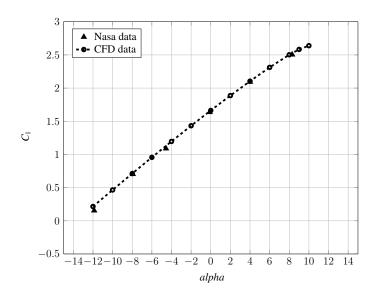


Figure 3.13:  $C_l$  as a function of  $\alpha$  comparison between experimental data using NASA Model B N1WC1F1 airfoil and the CFD model

Agreement between the experimental data and the CFD model is good. The lift curve slope is shows minor deviations at  $\alpha \leq -10^{\circ}$ , that will not affect the optimization since it is at negative angle. The polar plot shows slightly more deviations. Since  $C_d$  is close to zero, the lift and drag ratio  $C_l/C_d$  is sensitive to minor changes in the drag coefficient. Drag forces can be hard to predict accurately, and the deviation considered to be within acceptable bounds. The  $C_p$  plots show excellent agreement whether the angle of attack is at a negative, zero or positive angle, that supports the decision that the quality of the CFD model is within acceptable bounds. More validation runs can be found in appendix D.

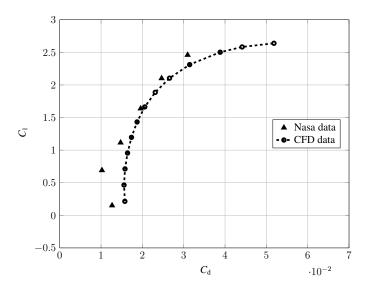


Figure 3.14: Drag polar plot comparison between experimental data of NASA Model B N1WC1F1 airfoil and the CFD model

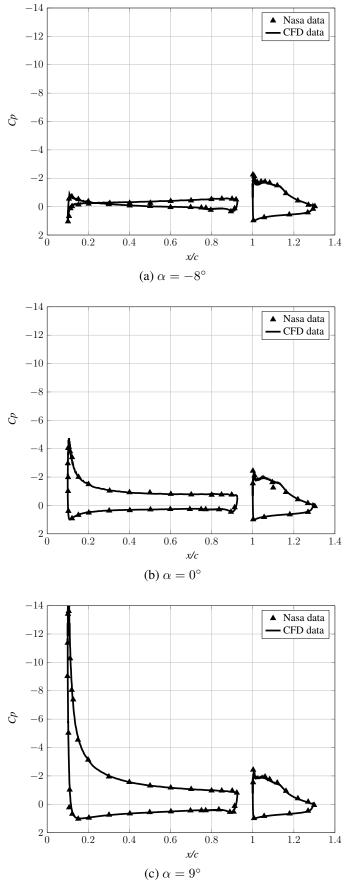


Figure 3.15: Comparison between experimental data and the CFD model on pressure coefficient  $C_p$  over surface of a NASA Model B N1WC1F1 airfoil, consisting of a main element and a flap at three angles of attack

#### 3.6 Numerical Noise Analysis

A numerical noise analysis was performed on the same trawl-door model as the grid convergence study in 3.4. The purpose was to determine the quality of the solution obtained by the optimization process, choose a low-fidelity CFD model that fulfilled the quality needed and verify that the noise in the high-fidelity model were negligible compared to the low-fidelity one.

The noise in value of merit  $C_l/C_d$  were investigated in terms of design variables  $x_{slat}/c$ ,  $y_{slat}/c$ ,  $\theta_{slat}$  and  $\alpha$ . Noise in each design variable is examined for eight potential low-fidelity models with 24,000, 33,000, 42,000, and 50,000 elements where the model were considered converged after 1,500 iterations, or when residuals had dropped by  $5 \times 10^{-3}$ . And for the same grids where the model were considered converged after 2,000 iterations, or when residuals had dropped by  $5 \times 10^{-4}$ . In addition the noise were examined for the high-fidelity model chosen in chapter 3.4.

The noise study is performed by varying each design variable independently while monitoring the lift-to-drag ratio. Changes in design variables have to be small enough so that the analysis captures the noise in the model instead of the overall trend. Configuration of the trawl-door were chosen to be in close proximity of an optimized configuration of an initial optimization run (see appendix A). The baseline configuration and range for each design variables were  $x_{slat}/c = -0.200 \pm 0.020$ ,  $y_{slat}/c = -0.0467 \pm 0.020$ ,  $\theta_{slat} = 25.33 \pm 0.25$  deg and  $\alpha = 9.33 \pm 0.25$  deg. 40 simulation points were then distributed evenly over the range for all variables.

Noise results for the low-fidelity models are presented in table 3.2, and comparison of computational time for the models are shown in figure 3.16. The coarsest grids contain high level of noise, or up to  $9 \ C_l/C_d$ . Even though the computational time is shortest for the coarsest grids they were considered infeasible for the optimization process. The improved convergence criteria also reduces the noise level, implying that the relaxed convergence criteria is not suitable. Only one case did not converge before reaching maximum number of iterations. Almost 6 minute peak in computational time figure 3.16, can be explained by poor quality of the grid that leads to inadequate solution convergence where the residuals oscillate between  $5 \times 10^{-3}$  and  $5 \times 10^{-3}$ .

The model chosen as a low-fidelity model for the optimization process were the finest one, with 50,000 elements and the model were considered converged after 2,000 iterations, or when residuals had dropped by  $5 \times 10^{-4}$ , with highest noise level in variable x/c of 1.2  $C_l/C_d$ . Figure 3.17 shows the noise of each design variable for the model chosen and

figure 3.18 for the high-fidelity model, that had maximum noise level at design variable x/c of approximately  $0.8 C_l/C_d$ . Other results for models simulated in this study can be found in appendix C.

Table 3.2: Estimated noise in  $C_l/C_d$  for each design variable of a low-fidelity model

	<b>Number of elements</b>					
Design Variable	24.000	33.000	42.000	50.000		
Converged after 2,000 iteration or residuals $\leq 5 \times 10^{-4}$						
x/c	4.464	4.616	2.064	1.213		
y/c	9.079	4.120	1.973	1.312		
$oldsymbol{ heta}$	2.772	3.495	1.005	1.150		
$\alpha$	1.803	0.373	0.093	0.304		
Converged after 1,500 iteration or residuals $\leq 5 \times 10^{-3}$						
x/c	3.963	4.883	2.979	1.619		
y/c	8.239	4.999	2.012	1.660		
$oldsymbol{ heta}$	3.448	4.012	1.356	0.907		
lpha	1.968	0.311	0.969	0.210		

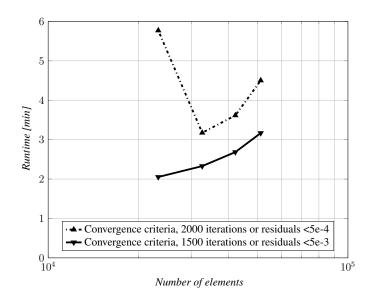


Figure 3.16: Low-fidelity model computational time example, obtained in the noise analysis process

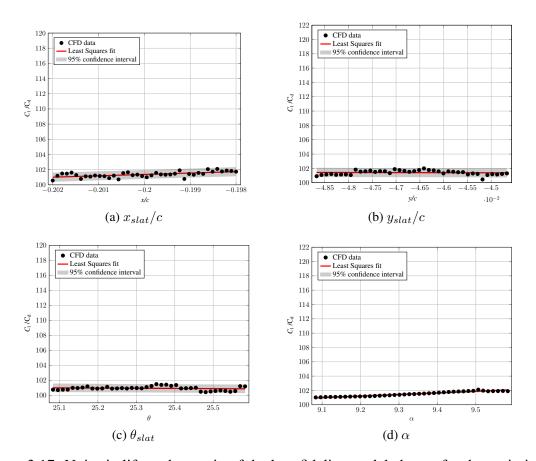


Figure 3.17: Noise in lift-to-drag ratio of the low-fidelity model chosen for the optimization process

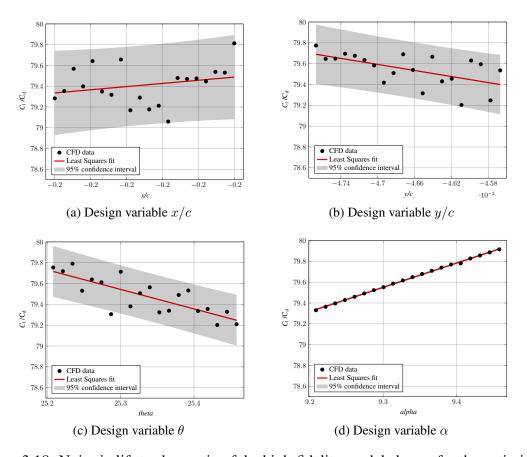


Figure 3.18: Noise in lift-to-drag ratio of the high-fidelity model chosen for the optimization process

#### 3.7 Sensitivity Analysis

Sensitivity analysis were performed to estimate the effect (sensitivity) of each design variable on the lift-to-drag ratio, as well as to give an idea on how the design space close to optimum looks like. The sensitivity analysis is performed similar to the noise analysis in 3.6, except that the range of each design variables is much larger to capture the trend of the  $C_l/C_d$  curve.

Here the baseline configuration is the same as before, but the range were  $x_{slat}/c = -0.200 \pm 0.050$ ,  $y_{slat}/c = -0.0467 \pm 0.050$ ,  $\theta_{slat} = 25.33 \pm 0.50$  deg and  $\alpha = 9.33 \pm 0.50$  deg and the simulation points were reduced down to 10. Four models were sampled with the same grids as before, but only one convergence criteria were tested, that is convergence after 2,000 iterations or when residuals have reached  $5 \times 10^{-4}$ .

Figure 3.19 shows the results of the sensitivity analysis, where the noise in the coarser models is apparent, confirming the results of the noise analysis. Design variable  $\alpha$  is the most sensitive of the design variables, it should be noted that abrupt changes in lift-over-drag is expected near  $\alpha_{stall}$  after flow separation occurs. Solutions for the finest grid, used as a low-fidelity model gives relatively smooth solution in the interval chosen, with no signs of unexpected local min- or maximums.

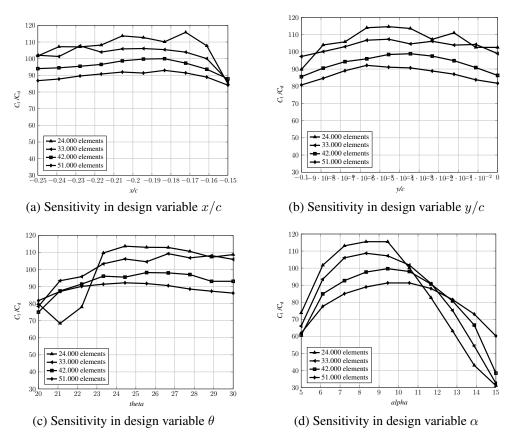


Figure 3.19: Sensitivity in design variables of a low-fidelity model at various grid densities

# **Chapter 4**

# **Optimization Methodology**

In this chapter, optimization methodology for trawl-door shape design is described. The chapter starts by describing the problem formulation and the surrogate-based optimization (SBO) approach. A general discussion of surrogate modeling is given, including the approximation- and physics-based approaches. The details of approximation-based surrogate modeling using kriging interpolation as well as physics-based surrogate modeling with multi-points space mapping. The chapter concludes with a description of a mixed surrogate modeling approach.

### 4.1 Formulation of the Optimization Problem

We will denote the response of the high-fidelity computational fluid dynamics (CFD) simulation model by  $\mathbf{f}(\mathbf{x})$ .  $\mathbf{f}$  represents an evaluation of the performance characteristics of interest, and the vector  $\mathbf{x} = [x_1 \ x_2 \ \dots \ x_n]^T$  represents the designable parameters to be adjusted (see Chapter 2 for the definitions of the characteristics and design variables). The simulation-driven design task is usually formulated as a nonlinear minimization problem of the following form (same equation as in 2, renumbered for fullnesses of this chapter)

$$\mathbf{x}^* = \arg\min_{\mathbf{x}} H(\mathbf{f}(\mathbf{x})) \tag{4.1}$$

where H is the scalar merit function encoding the design specifications, whereas  $\mathbf{x}^*$  is the optimum design to be found. The composition  $H(\mathbf{f}(\mathbf{x}))$  will be referred to as the objective function. The function H is implemented so that a better design  $\mathbf{x}$  corresponds

to a smaller value of  $H(\mathbf{f}(\mathbf{x}))$ . It is assumed that obtaining  $H(\mathbf{f}(x))$  is computationally expensive.

## 4.2 Surrogate-Based Optimization

Conventional numerical optimization techniques, such as gradient-based search, are well established and robust methods. However, their applicability for solving contemporary design problems is limited due to the fundamental challenge of the high computational cost of accurate, high-fidelity simulations. Difficulties of the conventional optimization techniques, in the context of simulation-driven design, were the main incentives for developing alternative design methods. Perhaps the most promising way to address these issues, in particular, to conduct parametric optimization of expensive simulation models in a reasonable timeframe, is surrogate-based optimization (SBO) [11, 12, 13, 14].

The main concept behind SBO is to replace direct optimization of an expensive computational model by an iterative process in which a sequence of designs approximating the solution to the original optimization problem equation 4.1 is generated by means of optimizing a fast yet reasonably accurate representation of the high-fidelity model, referred to as a surrogate. In each iteration, the surrogate model is updated using the high-fidelity model evaluation at the most recent design (and, sometimes, some other suitably selected designs). Formally speaking, the surrogate-based optimization process can be written as [28]

$$\mathbf{x}^{(i+1)} = \arg\min_{\mathbf{x}} H(\mathbf{s}^{(i)}(\mathbf{x})) \tag{4.2}$$

where  $\mathbf{x}(i)$ ,  $i=0,1,\ldots$ , is a sequence of approximate solutions to the original problem (1), whereas  $\mathbf{s}^{(i)}$  is the surrogate model at the *i*th iteration.  $\mathbf{x}^{(0)}$  is the initial design. Figure 4.1 shows the SBO process graphically using a high-fidelity CFD model.

The main prerequisite for the process 4.2 to be computationally efficient is the surrogate model  $\mathbf{s}$  being significantly faster than the high-fidelity model  $\mathbf{f}$ . At the same time, the surrogate has to be sufficiently accurate (in terms of representing the high-fidelity model). In case of local search methods, reasonable accuracy is only requested in the vicinity of the current design  $\mathbf{x}^{(i)}$ . If both conditions are satisfied, the algorithm 4.2 is likely to quickly converge to the high-fidelity model optimum  $\mathbf{x}^*$ .

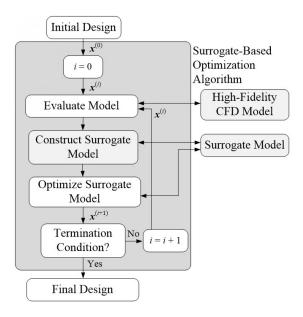


Figure 4.1: SBO work flow. [3]

#### 4.3 Surrogate Modeling

Surrogate models can be roughly divided into two categories: (i) approximation (or functional) and (ii) physics-based ones. Approximation-based surrogate models are constructed through approximations of the high-fidelity model data obtained in the process of sampling the design space using appropriate design of experiments (DOE) methodologies. Approximation-based surrogates can be considered as generic models that are independent of the physics or any other knowledge about the problem at hand. The other class of models is based on exploitation of some knowledge about the system under consideration, usually embedded in a physics-based low-fidelity model. In the case of aero/hydrodynamic problems, the low-fidelity model can be obtained using simplified analytical description of the structure under design or from coarse-discretization CFD simulations. The surrogate itself is constructed by appropriate correction of the low-fidelity model, usually based on limited amount of high-fidelity model data.

#### 4.3.1 Approximation-Based Surrogates

Approximation-based surrogates are constructed from sampled high-fidelity model data. Figure 4.2 shows the modeling flowchart for this type of models. The first stage is design of experiments (DOE) [29, 30, 31], which is essentially a strategy of allocating required number of samples in the design space. Having acquired high-fidelity model data, the model identification is performed using a selected approximation method. The surrogate

has to be verified to ensure sufficient accuracy, particularly its generalization capability, i.e., the quality of model predictions at the designs not seen during the identification stage. For that reason, model testing is normally performed using a separate set of testing samples. In practice, the modeling process may be iterative with the flow depicted in Fig. 4.2 constituting a single iteration. Upon validation of the surrogate, new set up samples together with the corresponding high-fidelity model data is then used to re-identify the model and such an adaptive sampling scheme is continued until the accuracy goals are met. In an optimization context, the surrogate model update may also be oriented towards finding better designs rather than towards ensuring global accuracy.

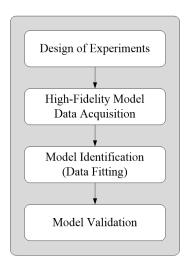


Figure 4.2: Approximation-based surrogate model construction flowchart [3]

Probably the most popular DOE for (relatively) uniform sample distributions is Latin Hypercube Sampling (LHS) [32]. In order to allocate p samples with LHS, the range for each parameter is divided into p bins, which for n design variables, yields a total number of  $p^n$  bins in the design space. The samples are randomly selected in the design space so that (i) each sample is randomly placed inside a bin, and (ii) for all one-dimensional projections of the p samples and bins, there is exactly one sample in each bin. Figure 4.3d shows an example LHS realization of 20 samples for two design variables (n = 2). It is worth noticing that the standard LHS may lead to non-uniform distributions (for example, samples allocated along the design space diagonal satisfy both the condition (i) and (ii)).

#### 4.3.2 Physics-Based Surrogates

The main idea behind physics-based surrogates is the exploitation of the knowledge about the system of interest embedded in an underlying low-fidelity model, denoted here as  $\mathbf{c}(\mathbf{x})$ .

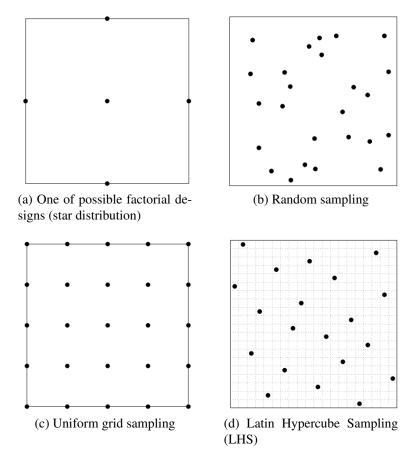


Figure 4.3: Popular design of experiments techniques [3].

The latter is a simplified representation of the system. It is computationally cheaper but, at the same time, less accurate than the high-fidelity model. The low-fidelity model can be obtained, for example, from the same simulator as the one used for the high-fidelity model but using a coarse discretization. Alternatively, the low-fidelity model can be based on simplified physics, or on a significantly different physical description; in some cases formulated using analytical or semi-empirical formulas. Because of its limited accuracy, the low-fidelity model has to be corrected to create a reliable surrogate.

The main advantage of physics-based models is that because of exploiting some knowledge embedded in the low-fidelity model a limited amount of high-fidelity data is necessary to ensure decent accuracy. For the same reason, physics-based surrogates are characterized by good generalization capability, i.e., they can provide reliable prediction of the high-fidelity model response at the designs not used in the training process. These advantages are normally translated into better efficiency (in particular, lower CPU cost) when physics-based surrogates are used in the design optimization process. It should be noted that the evaluation of a physics-based surrogate may involve, for example, the numerical solution of partial differential equations, or even actual measurements of the physical system.

Here we use Pattern search optimization, that is a derivative-free method, based on assessing the objective function within a bounded area exploring it with a structured set of points. The initial area is then modified during the optimization. This method is considered robust and relatively immune to numerical noise[3]. Figure 4.4 shows a simplified process of pattern search optimization where the structured set of points has been simplified to rectangular grid around the initial design. Once a more feasible solution compared to the initial one has been found, the optimizer moves to the improved solution and defines a new search area. The process is iterated until the optimizer fails to find improved solution, then the search area is reduced allowing smaller steps. The determination criteria is typically user defined, here the optimisation process was terminated once the search area had reduced under the numerical noise level of the CFD simulations used for the process.

## 4.4 Approximation-Based Surrogates via Kriging Interpolation

Kriging is a widely used technique to construct approximation-based surrogates [33, 34, 35]. Kriging is a Gaussian process based modeling method, which is compact and cheap

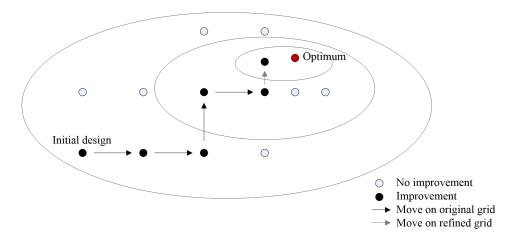


Figure 4.4: Simplified Pattern Search Optimization process [3]

to evaluate [36]. In its basic formulation, kriging assumes that the function of interest is of the following form [33, 34]:

$$\mathbf{f}(\mathbf{x}) = \mathbf{g}(\mathbf{x})^T \boldsymbol{\beta} + Z(\mathbf{x}), \tag{4.3}$$

where  $\mathbf{g}(\mathbf{x}) = [g_1(\mathbf{x}) \ g_2(\mathbf{x}) \ \dots g_K(\mathbf{x})]^T$  are known (e.g., constant) functions,  $\boldsymbol{\beta} = [\beta_1 \beta_2 \dots \beta_k]^T$  are the unknown model parameters (hyperparameters), and  $Z(\mathbf{x})$  is a realization of a normally distributed Gaussian random process with zero mean and variance  $\sigma^2$ . The regression part  $\mathbf{g}(\mathbf{x})^T \boldsymbol{\beta}$  is a trend function for  $\mathbf{f}$ , and  $Z(\mathbf{x})$  takes into account localized variations. The covariance matrix of  $Z(\mathbf{x})$  is given as

$$Cov\left[Z(\mathbf{x}^{(i)})(\mathbf{x}^{(j)})\right] = \sigma^2 \mathbf{R}\left(\left[R(\mathbf{x}^{(i)}, \mathbf{x}^{(j)})\right]\right),\tag{4.4}$$

where **R** is a  $p \times p$  correlation matrix with  $R_{ij} = R(\mathbf{x}^{(i)}, \mathbf{x}^{(j)})$ . Here,  $R(\mathbf{x}^{(i)}, \mathbf{x}^{(j)})$  is the correlation function between sampled data points  $\mathbf{x}^{(i)}$  and  $\mathbf{x}^{(j)}$ . The most popular choice is the Gaussian correlation function

$$R(\mathbf{x}, \mathbf{y}) = exp\left[-\sum_{k=1}^{n} \theta_k |x_k - y_k|\right],$$
(4.5)

where  $\theta_k$  are the unknown correlation parameters, and  $x_k$  and  $y_k$  are the kth components of the vectors x and y, respectively. The kriging predictor is defined as

$$\mathbf{s}(\mathbf{x}) = \mathbf{g}(\mathbf{x})^T \boldsymbol{\beta} + \mathbf{r}^T(\mathbf{x}) \mathbf{R}^{-1} (\mathbf{f} - \mathbf{G} \boldsymbol{\beta}), \tag{4.6}$$

where  $\mathbf{r}(\mathbf{x}) = \left[R(\mathbf{x}, \mathbf{x}^{(1)}) \dots R(\mathbf{x}, \mathbf{x}^{(p)})\right]^T$ ,  $\mathbf{f} = \left[f(\mathbf{x}^{(1)})f(\mathbf{x}^{(2)}) \dots f(\mathbf{x}^{(p)})\right]^T$ , and  $\mathbf{G}$  is a  $p \times K$  matrix with  $G_{ij} = g_j(\mathbf{x}^{(i)})$ . The vector of model parameters  $\boldsymbol{\beta}$  can be computed as  $\boldsymbol{\beta} = (\mathbf{G}^T \mathbf{R}^{-1} \mathbf{G})^{-1} \mathbf{G}^T \mathbf{R}^{-1} \mathbf{f}$ . Model fitting is accomplished by maximum likelihood for  $\boldsymbol{\theta}_k$  [33]. An important property of kriging is that the random process  $\mathbf{Z}(\mathbf{x})$  gives information on the approximation error that can be used for improving the surrogate, e.g., by allocating additional training samples at the locations where the estimated model error is the highest [12, 33].

# 4.5 Physics-Based Surrogates via Multi-Point Space Mapping

A generic space mapping surrogate at iteration i in (4.2)

$$\mathbf{s}^{(\mathbf{i})}(\mathbf{x}) = s(\mathbf{x}, \mathbf{p}^{(i)}),\tag{4.7}$$

where the parameters **p** are found by parameter extraction by solving a nonlinear minimization problem of the form

$$\mathbf{p}^{(i)} = \arg\min_{\mathbf{P}} \sum_{k=0}^{i} w_{i,k} \parallel \mathbf{f}(\mathbf{x}^{(k)}) - \mathbf{s}(\mathbf{x}^{(k)}, \mathbf{p}) \parallel^{2}, \tag{4.8}$$

where  $w_{i,k}$  are weighting coefficients. For aero/hydrodynamic responses from CFD models, Koziel and Leifsson [37] suggested the following output space mapping surrogate form

$$\mathbf{s}^{(i)}(\mathbf{x}) = \mathbf{A}^{(i)} \circ \mathbf{c}(\mathbf{x}) + \mathbf{D}^{(i)} + \mathbf{q}^{(i)} = \left[ a_l^{(i)} C_{l.c}(\mathbf{x}) + d_l^{(i)} + q_l^{(i)} \ a_d^{(i)} C_{d.c}(\mathbf{x}) + d_d^{(i)} + q_d^{(i)} \right]^T,$$
(4.9)

where  $\circ$  denotes component-wise multiplication,  $\mathbf{c}$  is the low-fidelity model, and the response correction parameters  $\mathbf{A}^{(i)}$  and  $\mathbf{D}^{(i)}$  are obtained by solving [38]

$$\left[\mathbf{A}^{(i)}, \mathbf{D}^{(i)}\right] = \arg\min_{[\mathbf{A}, \mathbf{D}]} \sum_{k=0}^{i} \| \mathbf{f}(\mathbf{x}^{(k)}) - \mathbf{A} \circ \mathbf{c} (\mathbf{x}^{(k)}) + \mathbf{D} \|^{2}. \tag{4.10}$$

The response scaling improves the matching for all points previously iterated. The additive response correction term  $\mathbf{q}^{(i)}$  is defined as

$$\mathbf{q}^{(i)} = \mathbf{f}(\mathbf{x}^{(i)}) - \left[ \mathbf{A}^{(i)} \circ \mathbf{c}(\mathbf{x}^{(i)}) + \mathbf{D}^{(i)} \right]$$
(4.11)

to make sure that there is perfect matching between the surrogate and the high-fidelity model at zero order consistency condition  $\mathbf{x}^{(i)}, \mathbf{s}^{(i)}(\mathbf{x}^{(i)}) = \mathbf{f}(\mathbf{x}^{(i)})$ .

The response correction parameters  $\mathbf{A}^{(i)}$ ,  $\mathbf{D}^{(i)}$  and the response scaling  $\mathbf{q}^{(i)}$  can be calculated analytically

$$\begin{bmatrix} a_l^{(i)} \\ d_l^{(i)} \end{bmatrix} = (\mathbf{C}_l^T \mathbf{C}_l)^{-1} \mathbf{C}_l^T \mathbf{F}_l$$

$$\begin{bmatrix} a_d^{(i)} \\ d_d^{(i)} \end{bmatrix} = (\mathbf{C}_d^T \mathbf{C}_d)^{-1} \mathbf{C}_d^T \mathbf{F}_d$$
(4.12)

where

$$\mathbf{C}_{l} = \begin{bmatrix} C_{l.c}(\mathbf{x}^{(0)}) & C_{l.c}(\mathbf{x}^{(1)}) & \cdots & C_{l.c}(\mathbf{x}^{(i)}) \\ 1 & 1 & \cdots & 1 \end{bmatrix}^{T}$$

$$\mathbf{F}_{l} = \begin{bmatrix} C_{l.f}(\mathbf{x}^{(0)}) & C_{l.f}(\mathbf{x}^{(1)}) & \cdots & C_{l.f}(\mathbf{x}^{(i)}) \\ 1 & 1 & \cdots & 1 \end{bmatrix}^{T}$$

$$(4.13)$$

and

$$\mathbf{C}_{d} = \begin{bmatrix} C_{d.c}(\mathbf{x}^{(0)}) & C_{d.c}(\mathbf{x}^{(1)}) & \cdots & C_{d.c}(\mathbf{x}^{(i)}) \\ 1 & 1 & \cdots & 1 \end{bmatrix}^{T}$$

$$\mathbf{F}_{d} = \begin{bmatrix} C_{d.f}(\mathbf{x}^{(0)}) & C_{d.f}(\mathbf{x}^{(1)}) & \cdots & C_{d.f}(\mathbf{x}^{(i)}) \\ 1 & 1 & \cdots & 1 \end{bmatrix}^{T}$$

$$(4.14)$$

That is the least-square optimal solution to the linear regression problem  $\mathbf{C}_l a_l^{(i)} + d_l^{(i)} = \mathbf{F}_l$  and  $\mathbf{C}_d a_d^{(i)} + d_d^{(i)} = \mathbf{F}_d$ , equivalent to equation 4.17

#### 4.6 Surrogates via Mixed Modeling

The surrogate model constructed for the mixed modeling approach were the same as in 4.5, except the low-fidelity model  $\mathbf{c}(\mathbf{x})$  is replaced by the approximation Kriging model  $\tilde{\mathbf{s}}$ . Then the output space mapping surrogate, equivalent to equation 4.15 will be

$$\mathbf{s}^{(i)}(\mathbf{x}) = \mathbf{A}^{(i)} \circ \tilde{\mathbf{s}}(\mathbf{x}) + \mathbf{D}^{(i)} + \mathbf{q}^{(i)} = \left[ a_l^{(i)} C_{l,c}(\mathbf{x}) + d_l^{(i)} + q_l^{(i)} \ a_d^{(i)} C_{d,c}(\mathbf{x}) + d_d^{(i)} + q_d^{(i)} \right]^T,$$
(4.15)

and the responce correcton parameters  $\mathbf{A}^{(i)}$  and  $\mathbf{D}^{(i)}$  are obtained by solving

$$\left[\mathbf{A}^{(i)}, \mathbf{D}^{(i)}\right] = \arg\min_{[\mathbf{A}, \mathbf{D}]} \sum_{k=0}^{i} \| \mathbf{f}(\mathbf{x}^{(k)}) - \mathbf{A} \circ \tilde{\mathbf{s}} (\mathbf{x}^{(k)}) + \mathbf{D} \|^{2}. \tag{4.16}$$

Then the additive response correction term  $\mathbf{q}^{(i)}$  is defined as

$$\mathbf{q}^{(i)} = \mathbf{f}(\mathbf{x}^{(i)}) - \left[\mathbf{A}^{(i)} \circ \tilde{\mathbf{s}}(\mathbf{x}^{(i)}) + \mathbf{D}^{(i)}\right]. \tag{4.17}$$

The response correction parameters  $A^{(i)}$  and  $D^{(i)}$  are obtained analytically as in 4.5.

# **Chapter 5**

## **Results**

This chapter gives the results of numerical optimization of trawl-door shapes using the methodology presented in Chapter 4. The first section gives results of performing local search using the multi-point space mapping algorithm (Chapter 4.5) for lift-constrained drag minimization, and lift-to-drag maximization. The second section gives results of global search using mixed modeling (Chapter 4.6) for lift-to-drag maximization. The chapter ends with discussion and comparison of the approaches.

#### 5.1 Local Search Using Multi-Point Space Mapping

# 5.1.1 Lift-Constrained Drag Minimization with Main Element and Leading-Edge Slat

In following optimization run consisting of a main element and leading edge slat, the high-fidelity model were selected with about 517,000 elements (grid 9). The flow solver convergence criteria were set to 5,000 iterations, or when residuals had dropped by six orders of magnitude. The low-fidelity model were the same as the high-fidelity one, but with coarser mesh discretization and relaxed convergence criteria. The selected grid had about 15,000 elements (grid 4) and the model were considered converged after fixed number of 500 iterations. The low fidelity model resulted in being around 78 times faster than the high-fidelity one.

The objective is to minimize the drag coefficient  $C_d$  subject to constraint on the section lift coefficient,  $C_l \geq 1.2$ . The design variables are  $x_{slat}/c$  and  $y_{slat}/c$  locations of the leading edge of the slat with respect to the main element leading edge, rotation of the slat

 $\theta$  with respect to the main-element chord line and the angle attack  $\alpha$ . The search domain were set as:  $-0.35 \leq x_{flap}/c \leq -0.17, -0.08 \leq y_{flap}/c \leq 0.07, \, 10 \leq \theta_{flap} \leq 40 \, \deg$ ,  $5.0 \leq \alpha \leq 35 \, \deg$ . The initial design were  $x_{flap}/c = -0.2, \, y_{flap}/c = -0.08, \, \theta_{flap} = 25 \, \deg$  and  $\alpha = 8 \, \deg$ .

The optimization results are shown in table 5.1, and the initial and optimized trawldoor shapes are shown in figure 5.1. The optimized design has  $x_{slat}/c = -0.200$ ,  $y_{slat}/c = -0.0633$ ,  $\theta_{slat} = 24.1$  deg, and  $\alpha = 8.4$  deg, with  $C_l = 1.20$  and  $C_d = 0.0153$  giving a lift-to-drag ratio of 78.4. Compared to the initial design that has  $C_l = 1.13$  and  $C_d = 0.015$  giving a lift-to-drag ratio of 74.4, the lift coefficient has increased about 6.2% and the drag coefficient stays similar between the designs, resulting in an increase of approximately 5.2% of the lift-to-drag ratio. The SM optimizer required 14 high-fidelity model evaluations and 400 low-fidelity ones, yielding a total runtime of approximately 55 hours. Figure 5.2 shows convergence history of the optimization process. Initially the design is infeasible but the optimizer quickly approaches the lift constraint value and finally converges on the objective value change after 11 iterations.

Table 5.2 shows the optimized results compared to previous study of this particular problem from Leifsson  $et\ al.$  [10] using local surrogates, constructed from high-fidelity models. The previous design is improved significantly, where the drag coefficient is reduced by 12.2 % while the lift coefficient is held similar due to constraints, resulting in a increase of the lift-to-drag ratio of approximately 12.2%. Previous study required 110 high-fidelity evaluations to converge compared to 14 high-fidelity and 400 low-fidelity ones by the SM algorithm, resulting in reduction of the optimization cost by 79%.

Table 5.1: Comparison of initial and optimized results of a trawl-door with a main element and leading edge slat. The objective is to minimize  $C_d$  subject to constraint on the section lift coefficient using multi-point space mapping

	Initial	Optimized	Relative difference
x/c <sub>slat</sub>	-0,200	-0,200	-
y/c <sub>slat</sub>	-0,080	-0,063	-
heta	25,00	24,11	-
lpha	8,000	8,375	-
$C_{l}$	1,126	1,200	6.2%
$C_d$	0,015	0,015	0%
$C_l/C_d$	74,38	78,44	5.2%
<b>Evaluations HF/LF</b>	-	14/400	-
Runtime gain ratio	-	$\approx 78$	-
Total runtime [hours]	-	$\approx 55$	-

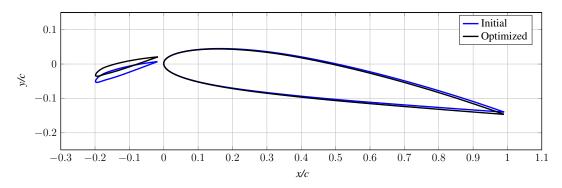


Figure 5.1: Comparison of initial and optimized trawl-door shape of a trawl-door with main element and leading edge slat. The objective is to minimize  $C_d$  subject to constraint on the section lift coefficient using multi-point space mapping. The flow is parallel to the x/c axis

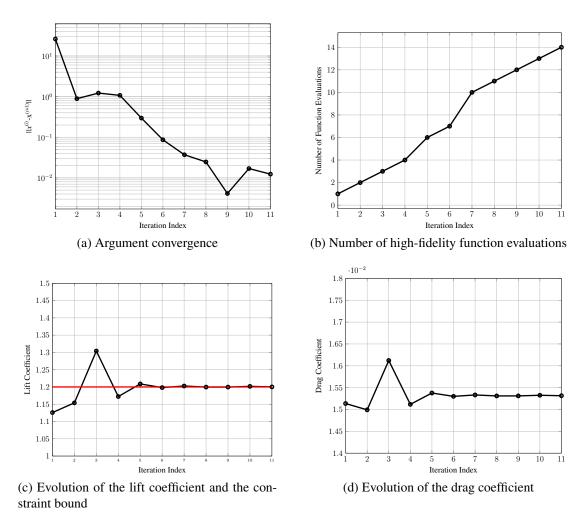


Figure 5.2: Optimization history of a trawl-door with main element and a leading edge slat. The objective is to minimize  $C_d$  subject to constraint on the section lift coefficient using multi-point space mapping.

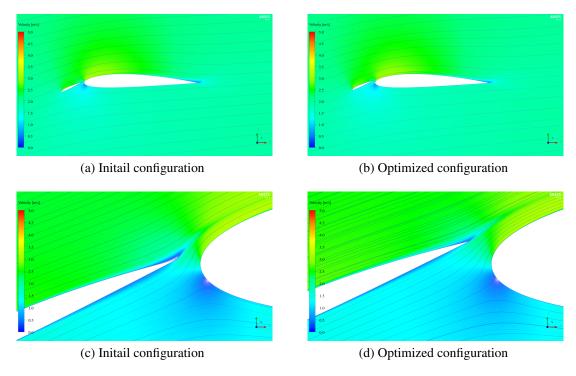


Figure 5.3: Flow velocity contour plots for initial and optimized wing configurations of a trawl-door with main element and leading edge slat. The objective is to minimize  $C_d$  subject to constraint on the section lift coefficient using multi-point space mapping.

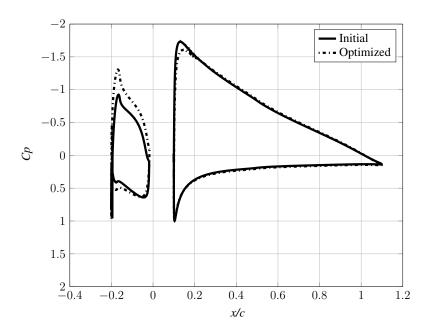


Figure 5.4: Pressure distribution comparison between initial and optimized shapes of a trawl-door with main element and leading-edge slat. The objective is to minimize  $C_d$  subject to constraint on the section lift coefficient using multi-point space mapping.

Table 5.2: Comparison of SM optimization results with approach using local surrogates

	Previous study [39]	<b>Current results</b>	Relative difference
x/c <sub>slat</sub>	-0,2289	-0,200	-
y/c <sub>slat</sub>	-0,0066	-0,063	-
heta	20,4968	24,11	-
$\alpha$	8,2758	8,375	-
$C_l$	1,1985	1,200	0.1%
$C_d$	0,0174	0,015	-13,7%
$C_l/C_d$	68,8793	78,44	$12,\!2\%$
Iterations	9	11	-
<b>Evaluations HF/LF</b>	110	14/400	-
Total runtime [hours]	$\approx 262$	≈ 55	-79.0%

### 5.1.2 Lift-to-Drag Maximization with Main Element and Leading-Edge Slat

Following optimization run has the same trawl-door geometry as the case before. The high-fidelity model were selected with about 517,000 elements (grid 9). The flow solver convergence criteria were set to 5,000 iterations, or when residuals had dropped by six orders of magnitude. The low-fidelity model were the same as the high-fidelity one, but with coarser mesh discretization and relaxed convergence criteria. The selected grid had about 50,000 elements (located between grid points 5 and 6) and the model were considered converged after 2,000 iterations, or when residuals had dropped by 4 orders in magnitude. The low fidelity model resulted in being around 18 times faster than the high-fidelity one.

The objective is to maximize the lift-to-drag ratio  $C_l/C_d$  with no additional constraints. The design variables are  $x_{slat}/c$  and  $y_{slat}/c$  locations of the leading edge of the slat with respect to the main element leading edge, rotation of the slat  $\theta$  with respect to the main-element chord line and the angle attack  $\alpha$ . The search domain were set as:  $-0.40 \le x_{slat}/c \le -0.20$ ,  $-0.08 \le y_{slat}/c \le 0.03$ ,  $20 \le \theta_{slat} \le 50$  deg,  $0.0 \le \alpha \le 20$  deg. The initial design were  $x_{slat}/c = -0.2$ ,  $y_{slat}/c = -0.08$ ,  $\theta_{slat} = 25$  deg and  $\alpha = 5$  deg.

The optimization results are shown in table 5.3, and the initial and optimized trawldoor shapes are shown in figure 5.5. The optimized design has  $x_{slat}/c = -0.200$ ,  $y_{slat}/c = -0.0800$ ,  $\theta_{slat} = 29.3$  deg, and  $\alpha = 12.3$  deg, with  $C_l = 1.67$  and  $C_d = 0.0204$  giving a lift-to-drag ratio of 81.8. Compared to the initial design that has  $C_l = 0.699$  and  $C_d = 0.0185$  giving a lift-to-drag ratio of 37.8, the lift coefficient has increased about 58.1% and the drag coefficient increased about 9.31%, resulting in an increase of approximately 53.8% of the lift-to-drag ratio. The SM optimizer required 4 high-fidelity model evaluations and 83 low-fidelity ones, yielding a total runtime of approximately 19 hours. Figure 5.7 shows convergence history of the optimization process. Initially the design is infeasible but the optimizer approaches more feasible solution after only two iterations and converges on the objective value after 4 iterations.

Table 5.3: Comparison of initial and optimized results of a trawl-door with a main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints using multi-point space mapping

	Initial	Optimized	Relative difference
x/c <sub>slat</sub>	-0.200	-0.200	-
y/c <sub>slat</sub>	-0.0800	-0.0800	-
heta	25.0	29.3	-
$\alpha$	5.00	12.3	-
$C_l$	0.699	1.67	58.1%
$C_d$	0.0185	0.0204	9.31%
$C_l/C_d$	37.8	81.8	53.8%
Iterations	-	4	-
<b>Evaluations HF/LF</b>	-	4/83	-
Runtime gain ratio	-	$\approx 18$	-
Total runtime [hours]	-	$\approx 19$	-

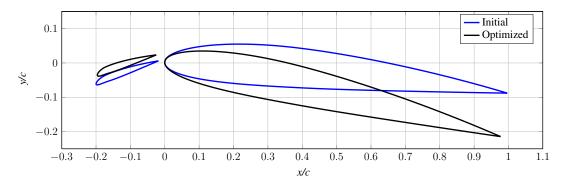


Figure 5.5: Comparison of initial and optimized trawl-door shape of a trawl-door with main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping. The flow is parallel to the x/c axis

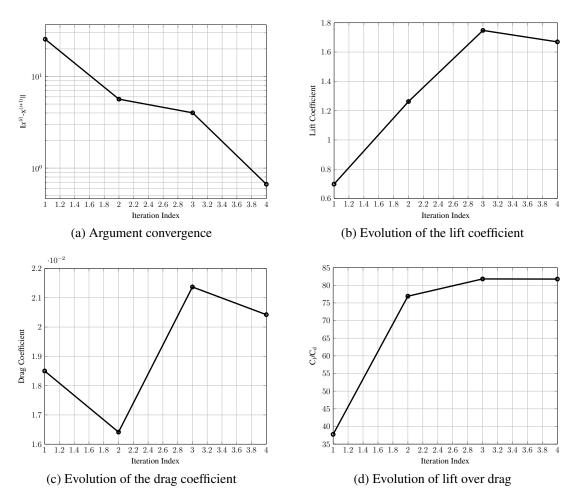


Figure 5.6: Lift-to-Drag optimization history of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

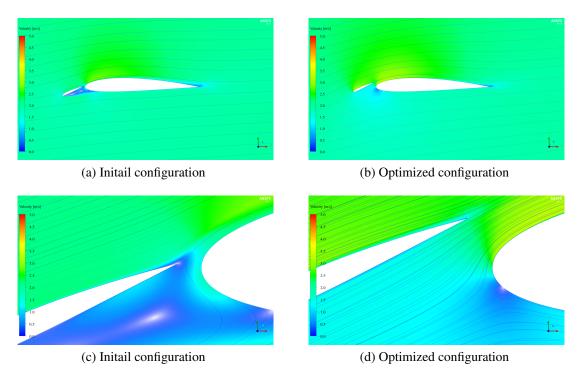


Figure 5.7: Flow velocity contour plots for initial and optimized wing configurations of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

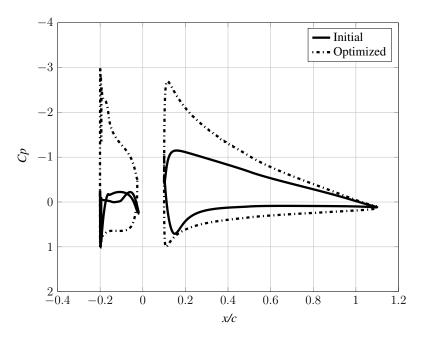


Figure 5.8: Pressure distribution comparison between initial and optimized shapes of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

# 5.1.3 Lift-to-Drag Maximization With Main Element, Leading Edge Slat, and Trailing-Edge Flap

Following optimization run consists of a trawl-door with main element, leading edge slat and trailing-edge flap. The High-fidelity model selected were with approximately 315,000 elements (grid 8). The flow solver convergence criteria were set to 6,000 iterations, or when residuals had dropped by five orders of magnitude. The low-fidelity model were the same as the high-fidelity one, but with coarser mesh discretization and relaxed convergence criteria. The selected grid had about 60,000 elements (located between grid points 5 and 6) and the model were considered converged after 2,000 iterations, or when residuals had dropped by 4 orders in magnitude. The low fidelity model resulted in being around 15 times faster than the high-fidelity one.

The objective is maximize the lift-to-drag ratio  $C_l/C_d$  with no additional constraints. The design variables are  $x_{slat}/c$  and  $y_{slat}/c$  locations of the leading edge of the slat with respect to the main element leading edge, rotation of the slat  $\theta_{slat}$  with respect to the main-element chord line,  $x_{flap}/c$  and  $y_{flap}/c$  locations of the leading edge of the flap with respect to the main element leading edge, rotation of the flap  $\theta_{flap}$  with respect to the main-element chord line, and the angle attack  $\alpha$ .

The search domain were set as:  $-0.40 \le x_{slat}/c \le -0.20$ ,  $-0.30 \le y_{slat}/c \le 0.30$ ,  $20 \le \theta_{slat} \le 50$  deg,  $0.90 \le x_{flap}/c \le 1.20$ ,  $-0.20 \le y_{flap}/c \le 0.00$ ,  $-30 \le \theta_{flap} \le 0$  deg,  $0.0 \le \alpha \le 15$  deg. The initial design were based on previously optimized results where the configuration of the flap and slat were optimized independently. The were chosen as:  $x_{slat}/c = -0.20$ ,  $y_{slat}/c = -0.0633$ ,  $\theta_{slat} = 24.1$  deg,  $x_{flap}/c = 1.00$ ,  $y_{flap}/c = -0.0222$ ,  $\theta_{flap} = -17.3$  deg,  $\alpha = 8$  deg.

The optimization results are shown in table 5.4, and the initial and optimized trawl-door shapes are shown in figure 5.9. The optimized design has  $x_{slat}/c = -0.200$ ,  $y_{slat}/c = -0.0630$ ,  $\theta_{slat} = 27.1$  deg,  $x_{flap}/c = 1.00$ ,  $y_{flap}/c = -0.0222$ ,  $\theta_{flap} = -18.3$  deg and  $\alpha = 6.00$  deg, with  $C_l = 2.23$  and  $C_d = 0.0230$  giving a lift-to-drag ratio of 97.2. Compared to the initial design that has  $C_l = 2.46$  and  $C_d = 0.0275$  giving a lift-to-drag ratio of 89.6, the lift coefficient has decreased about 10.3% and the drag coefficient decreased even more, or about 10.6% resulting in an increase of approximately 7.82% of the lift-to-drag ratio. The SM optimizer required 4 high-fidelity model evaluations and 90 low-fidelity ones, yielding a total runtime of approximately 22 hours. Figure 5.10 shows convergence history of the optimization process. The lift-to-drag ratio increases gradually as the optimizer approaches more feasible solution. After only 4 iterations the optimizer converges after the objective value falls within numerical noise level.

Table 5.4: Comparison of initial and optimized results of a trawl-door with a main element, leading edge slat and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints using multi-point space mapping

	Initial	Optimized	Relative difference
x/c <sub>slat</sub>	-0.200	-0,200	-
y/c <sub>slat</sub>	-0.0633	-0,0633	-
$ heta_{ m slat}$	24.1	27,1	-
x/c <sub>flap</sub>	1.00	1,00	-
y/c <sub>flap</sub>	-0.0222	-0.0222	-
$ heta_{ ext{flap}}$	-17.3	-18.3	-
$\alpha$	8,000	6.00	-
$\mathbf{C_l}$	2.46	2.23	-10.3%
$C_d$	0.0275	0.0230	-19.6%
$C_l/C_d$	89.6	97.2	7.82%
Iterations	-	4	-
<b>Evaluations HF/LF</b>	-	4/90	-
Runtime gain ratio	-	$\approx 15$	-
Total runtime [hours]	-	$\approx 22$	-

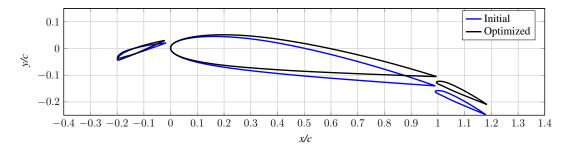


Figure 5.9: Comparison of initial and optimized trawl-door shape of a trawl-door with main element, leading edge slat and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping. The flow is parallel to the x/c axis

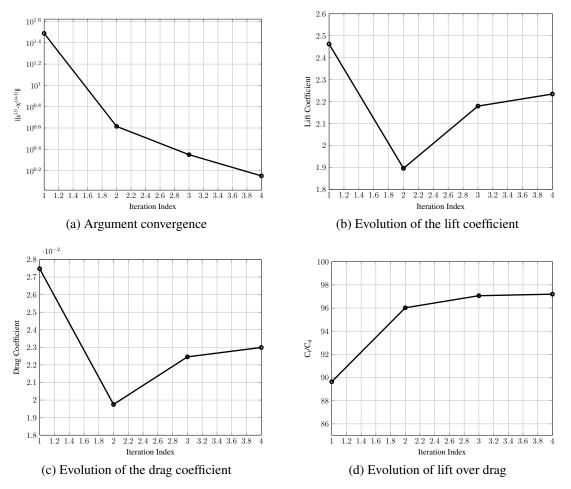


Figure 5.10: Lift-to-Drag optimization history of a trawl-door with main element, leading-edge slat and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

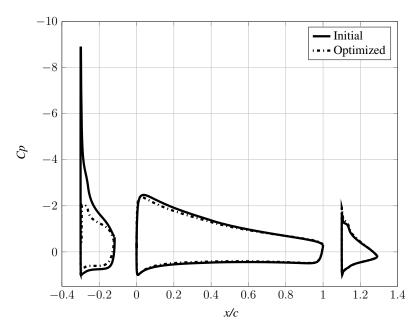


Figure 5.11: Pressure distribution comparison between initial and optimized shapes of a trawl-door with main element, leading-edge slat and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

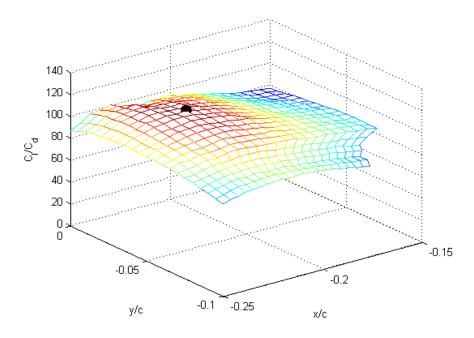
#### 5.2 Global Search Using Mixed Modeling

## 5.2.1 Lift-to-Drag Maximization With Main Element and Leading-Edge Slat

One trawl-door geometry consisting of a main element and leading edge slat were optimized using Kriging interpolation as a surrogate model. The low-fidelity model used to construct the kriging model were the same as used in chapter 5.1.2, with approximately 50,000 elements, and considered converged after 2,000 iterations or after the residuals have dropped by 4 orders in magnitude. 900 low-fidelity evaluations were used to construct the model.

The objective is to maximize the lift-to-drag ratio  $C_l/C_d$  with no additional constraints. The design variables are  $x_{slat}/c$  and  $y_{slat}/c$  locations of the leading edge of the slat with respect to the main element leading edge, rotation of the slat  $\theta$  with respect to the main-element chord line and the angle attack  $\alpha$ . The model were constructed within upper and lower bounds of  $-0.25 \le x_{slat}/c \le -0.15$ ,  $-0.10 \le y_{slat}/c \le 0.00$ ,  $20 \le \theta_{slat} \le 30$  deg,  $5.0 \le \alpha \le 15$  deg.

The optimization results are shown in table 5.5 and figure 5.12 shows a slice parallel to the  $x_{slat}/c$ ,  $y_{slat}/c$  surface of the Kriging model at optimum location of  $\theta$  and  $\alpha$ , where a black dot represents the optimum design on the surface. The optimized design has  $x_{slat}/c = -0.205$ ,  $y_{slat}/c = -0.0558$ ,  $\theta_{slat} = 25.5$  deg, and  $\alpha = 10.7$  deg, with  $C_l = 1.48$  and  $C_d = 0.0180$  giving a lift-to-drag ratio of 82.8 and the optimum trawl-door shape can be seen on figure 5.14. Figure 5.13 shows similar slices through the Kriging model as before, but at proximity of the optimum design.





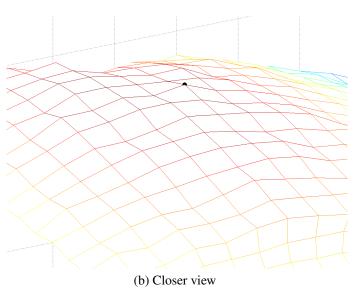


Figure 5.12: Slice parallel to the  $x_{slat}/c$ ,  $y_{slat}/c$  surface of the Kriging model at optimum location of  $\theta=20.5$  and  $\alpha=10.7$ .

Table 5.5: Optimized results of a trawl-door with a main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints using mixed modeling

	Optimized
x/c <sub>slat</sub>	-0.205
y/c <sub>slat</sub>	-0.0558
$ heta_{ m slat}$	25.5
lpha	10,7
$C_1$	1.48
$C_d$	0.0180
$C_l/C_d$	82.1
<b>Evaluations HF/LF</b>	1/900
Total runtime [hours]	67

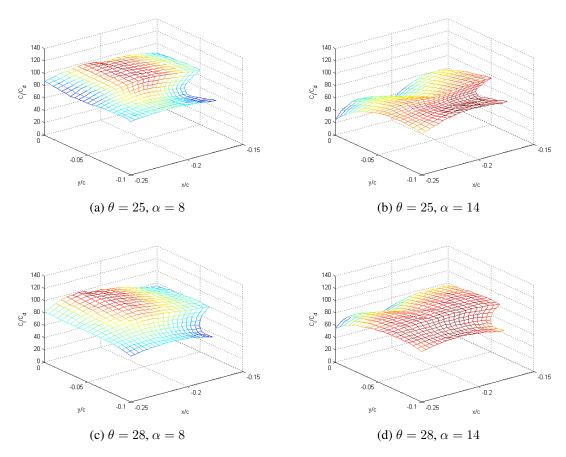


Figure 5.13: Slices parallel to the  $x_{slat}/c$ ,  $y_{slat}/c$  surface of the Kriging model at proximity of optimum design of  $\theta$  and  $\alpha$ 

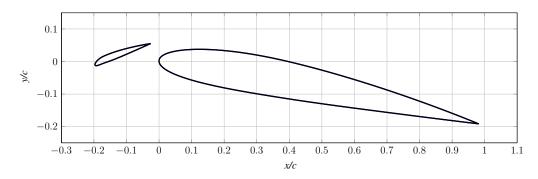


Figure 5.14: Optimized trawl-door shape of a trawl-door with main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using mixed modeling. The flow is parallel to the x/c axis

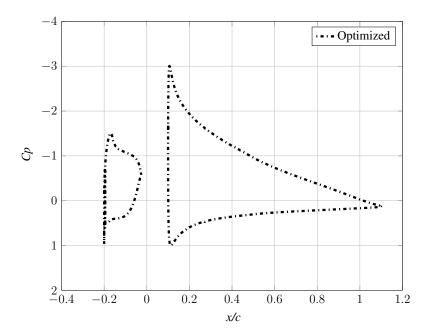


Figure 5.15: Pressure distribution over optimized shape of trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using mixed modeling.

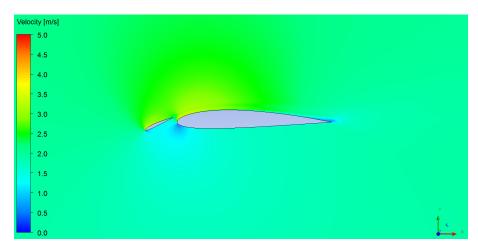


Figure 5.16: Velocity contour plot of the optimized design of trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using mixed modeling.

#### 5.3 Discussion

When all optimization results for a trawl-door with main element and leading edge slat are examined, they all yield satisfactory results. In all of the cases, optimum result for design variable  $x_{slat}/c$  is within the range of  $-0.200 \le x_{slat}/c \le -0.205$  and  $y_{slat}/c$  within  $-0.0558 \le x_{slat}/c \le -0.0800$  whether the objective is to maximize  $C_l/C_d$  or minimize  $C_d$ . Even though the consistency is not as good between simulation results for design variables  $\theta$  and  $\alpha$ , the solutions are considered valid. The reason is that there are more than one equally good solutions for the problem being solved. Table 5.6 summarizes the optimization results.

The highest lift-to-drag ratio of 82.1 is obtained by using mixed modelling methods. However the difference between the two methods is negligible considering the noise analysis conducted in chapter 3.6, where the noise in the high-fidelity model was found to be up to  $0.80 \ C_l/C_d$  in design variable  $x_{slat}/c$ . The case where the objective is to minimize  $C_d$  for given lift is not considered comparable in context of lift-to-drag values. Even though the nature of the problem requires the solution to be on top part of the lift-to-drag curve it is not constraint to the highest point. If case of a trawl-door with both leading-edge slat and trailing-edge flap is taken into account it, as expected obtains the highest lift-to-drag ratio of 97.2.

If computational efficiency of the optimization runs is examined, the Kriging model needs the longest computational time. Then reason is the high number of low-fidelity evaluations, even though the low-fidelity model is computationally inexpensive compared to the high-fidelity one the high number of 900 evaluations take over 63 hours to compute. The advantages to this method are that number of evaluations are directly related to the size of the design space (i.e. Smaller design space equals fewer evaluations). Another advantage is that only one high-fidelity evaluation is needed after the Kriging model has been constructed.

There is also much difference in computational time of the optimization process using multi-point SM. The case that minimizes  $C_d$  needs much higher number of both low- and high-fidelity evaluations. In that optimization run the low-fidelity model is of poorer quality than in other cases, but instead the computational time for each low-fidelity evaluation is much smaller. This difference is also effected by the objective value needed for the model to be converged. The objective is to minimize  $C_d$  that is a value, very close to zero and does not inherit much noise. That means that the solution value change has to be set to very small value (0.001) for the optimization process to be converged. When maximizing  $C_l/C_d$ , the objective value convergence criteria has to be relaxed so that the value is

Table 5.6: Comparison of all optimum designs of a trawl-door with main element and leading-edge slat

	Local Search I	Local Search II	Global Search
Objective	$\min C_d, Cl \ge 1.2$	$\max C_l/C_d$	$\max C_l/C_d$
x/c <sub>slat</sub>	-0.200	-0.200	-0.205
y/c <sub>slat</sub>	-0.0630	-0.0800	-0.0558
heta	24.1	29.3	-25.5
$\alpha$	8.38	12.3	-10.7
$C_1$	1.20	1.67	1.48
$C_d$	0.0150	0.0204	0.0180
$C_l/C_d$	78.4	81.8	82.1
<b>Evaluations HF/LF</b>	14/400	4/83	1/900
Runtime gain ratio	$\approx 78$	$\approx 18$	-
Total runtime [hours]	$\approx 55$	$\approx 19$	$\approx 67$

close to the noise in  $C_l/C_d$ . According to noise analysis of the low-fidelity used in case of  $C_l/C_d$  maximization, the numerical noise is around 1.3  $C_l/C_d$  making the model easier to converge.

## Chapter 6

## **Conclusion**

Robust and efficient optimization framework for the hydrodynamic design of multi-element trawl-door shapes using accurate high-fidelity two-dimensional computational fluid dynamics (CFD) models are presented in this thesis. The particular contributions of the work are as follows:

- Robust grid generation for multi-element hydrofoils has been developed. Thus, the CFD analysis becomes stable and accurate enough to capture the nonlinear fluid flow past the hydrofoils. Moreover, the robust CFD analysis makes the surrogate-based optimization (SBO) process smoother.
- A problem formulation capturing the true characteristics of the design space has been presented. The objective function is transformed from a constrained one to an unconstrained one by maximizing the lift-to-drag ratio in place of minimizing the drag for a given lift. The proposed formulation creates a design space which is easier to search using SBO.
- Surrogate construction using mixed modeling has been applied to the global optimization of trawl-door shapes. The approach utilizes space mapping to create a fast physics-based surrogate model using low- and high-fidelity data. The surrogate is then used to build an approximation-based surrogate model using kriging to search the design space globally.

Numerical design studies of multi-element trawl-door shapes using the framework illustrate the following:

• Lift-to-drag maximization is more appropriate than lift-constrained drag minimization for trawl-door shape optimization.

- Local search using multi-point space mapping very efficient and can yield satisfactory design at a low cost.
- Global search using mixed modeling is more computationally expensive than local search using multi-point space mapping but is capable of yielding designs with higher quality. The mixed modeling approach, however, provides a cost-effective way of performing global search. Using only high-fidelity data would be impractical.

Future work in this research area could consider the following:

- Three-dimensional CFD models need to be developed to capture more accurately the nonlinear flow physics of trawl-doors, especially due to the tip vortex shedding.
- Rigorous model validation is needed. In particular, experimental data for trawl-door shapes is required for the validation. A combination of wind tunnel experiments (which can be cheap and fast using the wind tunnels at Reykjavik University and rapid prototyping using, for example, three-dimensional printing) and tow-tank experiments (which are more time consuming and expensive since a such facility is not available in Iceland) can be used.
- The three-dimensional CFD model simulation time is expected to be at least an order of magnitude higher. Thus, search using multi-point space mapping would be promising, especially if faster low-fidelity models can be developed. Simplified physics models, such as panel methods, should be considered. The mixed modeling approach can be used, but it should be used in a quasi-global-way, i.e., when a promising design has been identified the mixed modeling approach can used to build a surrogate to investigate the vicinity and accurately find the optimum design.
- Adjoint sensitivity information can be used to build more accurate surrogate models (both for local and global search) as well as accelerating the optimization process.
   Additionally, larger design spaces can be handled. The Stanford University Unstructured code, which is open-source, can be used for the flow simulations and calculating the adjoints.
- Unsteady CFD simulations should be considered to capture more accurately loads acting on the trawl-doors. This will support more accurate structural design when it comes to manufacturing.

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# **Appendices**

## Appendix A

# **Initial Optimization Results Using Multi-Point Space Mapping**

In this section initial optimization results are presented, where evolution of the pattern search optimization process is shown and a comparisons between the initial and optimized trawl-door design is evaluated. For the initial optimization run all trawl-door shapes with main element and trailing-edge flap or leading-edge slat are considered.

### A.1 Main Element With Trailing Edge Flap

In case of the trawl-door with a main element and trailing edge flap, the high-fidelity model were selected with about 400,000 elements (grid 9). The flow solver convergence criteria were set to 5,000 iterations, or when residuals had dropped by five orders of magnitude. The low-fidelity model were the same as the high-fidelity one, but with coarser mesh discretization and relaxed convergence criteria. The selected grid had about 25,000 elements (grid 4) and the model were considered converged after 1,000 iterations, or when residuals had dropped by three orders of magnitude. The low fidelity model resulted in being around 52 times faster than the high-fidelity one.

The objective is to maximize the lift over drag ratio L/D. The design variables are  $x_{flap}/c$  and  $y_{flap}/c$  locations of the leading edge of the flap with respect to the main element leading edge, rotation of the flap  $\theta$  with respect to the main-element chord line and the angle attack  $\alpha$ . The search domain were set as:  $0.90 \le x_{flap}/c \le 1.20$ ,  $-0.20 \le y_{flap}/c \le 0.0$ ,

Table A.1: Comparison of initial and optimized results of a trawl-door with a main el-
ement and trailing edge flap. The objective is to maximize $C_l/C_d$ with no additional
constraints using multi-point space mapping

	Initial	Optimized	Relative difference
x/c <sub>flap</sub>	1.20	1.00	-
y/c <sub>flap</sub>	-0.200	-0.0222	-
heta	30.0	17.3	-
$\alpha$	5.00	5.89	-
$C_l$	1.30	2.04	36.3%
$C_d$	0.0180	0.0223	18.8%
$C_l/C_d$	72.5	91.6	20.9%
Iterations	-	13	-
<b>Evaluations HF/LF</b>	-	13/256	-
Runtime gain ratio	-	$\approx 52$	-
Total runtime [hours]	-	$\approx 39$	-

 $0.0 \le \theta_{flap} \le 30.0$  deg,  $0.00 \le \alpha \le 15.0$  deg. The initial design were  $x_{flap}/c = 1.20$ ,  $y_{flap}/c = -0.20$ ,  $\theta_{flap} = 10.0$  deg and  $\alpha = 5.0$  deg. It is known that the initial design is infeasible, the trailing edge flap is placed far behind and under the main element to see how efficiently the algorithm approaches more feasible design. Trust region algorithm were not active during these initial runs.

The initial and optimized results are shown in table A.1 and corresponding trawl-door shapes are shown in figure A.1. The optimized design has  $x_{flap}/c = 1.00$ ,  $y_{flap}/c = -0.0222$ ,  $\theta_{flap} = 17.3$  and  $\alpha = 5.89$  with  $C_l = 2.04$  and  $C_d = 0.0222$  giving a lift-to-drag ratio of 91.6. Compared to the initial design that has  $C_l = 1.30$  and  $C_d = 0.0180$  giving a lift-to-drag ratio of 72.5, the lift coefficient has increased about 36.3% and the drag coefficient increased about 18.8% resulting in an increase of 20.9% of the lift-to-drag ratio. The optimization algorithm required 13 high-fidelity model evaluations and 256 low fidelity ones in a runtime of approximately 39 hours.

Figure A.2 shows convergence history of the optimization process. Initially the design is infeasible and the optimizer quickly approaches more feasible design on the first six iterations. After that oscillations can be observed in the objective function as the model is iterated, and finally converges on the objective value change on a  $C_l/C_d$  value slightly under the highest one obtained in the iteration process.

The flow physics behind the hydrodynamic performance increase is seen on figure A.3 that shows velocity contour plots of both the initial and optimized design, and figure A.4 that shows pressure coefficient distribution  $C_p$  over the trawl-door surface. The stagnation

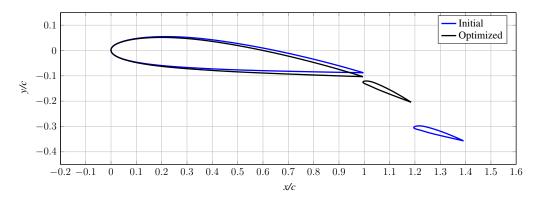


Figure A.1: Comparison of initial and optimized trawl-door shape of a trawl-door with main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping. The flow is parallel to the x/c axis

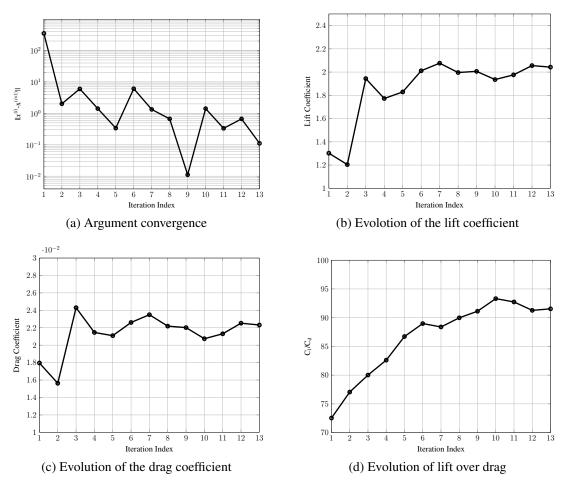


Figure A.2: Lift-to-Drag optimization history of a trawl-door with main element and trailing-edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

point has shifted slightly to the right, under the element resulting in velocity increase at the leading edge of the upper surface of the airfoil as the flow accelerates over the leading

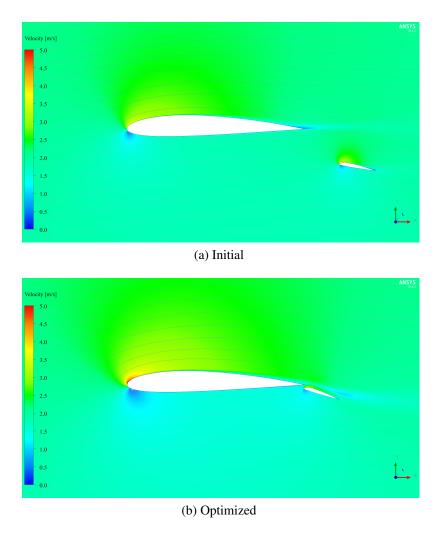


Figure A.3: Velocity contour comparison between the initial and optimized design of a trawl-door shape with main element and a trailing edge flap

edge that causes a pressure decrease on the top surface. Effects of the flap can bee seen on the pressure distribution on the main element trailing edge where the  $C_p$  curves have shifted to both higher negative and positive values, .

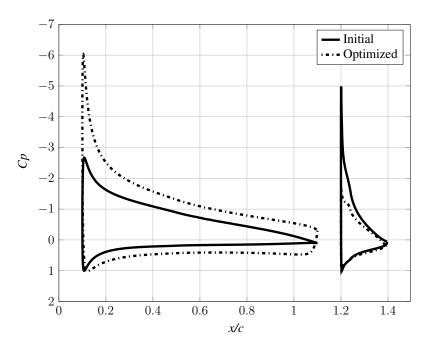


Figure A.4: Pressure distribution comparison between initial and optimized shapes of a trawl-door with main element and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

## **A.2** Main Element With Trailing Edge Flap Using Multistep Approach

Because of convergence oscillations in the results above, a multi-step optimization approach were carried out. The purpose were both to find out if the optimization process would be smoother using fewer design variables in the beginning of the process, and if it would have an effect later in the process if some of the design variables were all ready close to optimum design. The sett up were the same as before, except that the optimization process were carried out in following three steps.

- 1. Step 1: Design variables for the first optimization step are  $x_{flap}/c$  and  $y_{flap}/c$  locations of the leading edge of the flap with respect to the main element leading edge. The search domain were set as  $0.90 \le x_{flap}/c \le 1.20$  and  $-0.20 \le y_{flap}/c$  and the initial design were  $x_{flap}/c = 1.20$ ,  $y_{flap}/c = -0.20$ ,  $\theta_{flap} = 10.0$  deg and  $\alpha = 5.0$  deg where  $\theta_{flap}$  and  $\alpha$  were held constant.
- 2. Step 2: In the second optimization step the design variables are  $x_{flap}/c$ ,  $y_{flap}/c$  and rotation of the flap  $\theta$  with respect to the main-element chord line. The search domain were set as  $0.90 \le x_{flap}/c \le 1.20$ ,  $-0.20 \le y_{flap}/c \le 0.0$  and  $0.0 \le \theta_{flap} \le 30.0$ . The initial design for  $x_{flap}/c$  and  $y_{flap}/c$  were based on results obtained in step 1,  $\theta_{flap}$  were set to 10.0 and the angle of attack were constant as  $\alpha = 5.0$  deg.
- 3. Step 3: In the third step the design variables are  $x_{flap}/c$ ,  $y_{flap}/c$ ,  $\theta$  and the angle attack  $\alpha$ . The search domain were set as:  $0.90 \le x_{flap}/c \le 1.20$ ,  $-0.20 \le y_{flap}/c \le 0.0$ ,  $0.0 \le \theta_{flap} \le 30.0$  deg,  $0.00 \le \alpha \le 15.0$  deg. The initial design for  $x_{flap}/c$ ,  $y_{flap}/c$  and  $\theta$  were based on results obtained in step 2 and the angle of attack were set to 5.0 deg.

The objective is to maximize the lift-to-drag ratio with no additional constraints.

The optimization results are shown in table A.2, and the initial and optimized trawl-door shapes are shown in figure A.5. The optimized design has  $x_{slat}/c = 0.981$ ,  $y_{slat}/c = -0.0259$ ,  $\theta_{slat} = 15.1$  deg, and  $\alpha = 3.56$  deg, with  $C_l = 1.65$  and  $C_d = 0.0179$  giving a lift-to-drag ratio of 91.7. The SM optimizer required 3 high-fidelity model evaluations and 64 low-fidelity ones for the first step, 3 high-fidelity model evaluations and 64 low-fidelity ones for the second step and 6 high-fidelity model evaluations and 118 low-fidelity ones for the last step resulting in a total of 12 high-fidelity model evaluations and 242 low-fidelity ones. The number of high-fidelity evaluations could have been reduced by 2, if

the initial evaluation of runs 2 and 3 would have had knowledge of what happened in steps 1 and 2. The overall runtime were approximately 34 hours, with a runtime gain ratio of 53.9. Figure A.15 shows convergence history of the optimization process for each step.

Table A.2: Comparison of initial and optimized results of a trawl-door with a main element and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints using multi-point space mapping with multi-step approach

	Step 1	Step 2	Step 3	Total
X	$[xy]^T$	$[xy\theta]^T$	$[xy\theta\alpha]^T$	-
x/c <sub>slat</sub>	0.981	0.981	0.981	-
y/c <sub>slat</sub>	-0.0259	-0.0259	-0.0259	-
heta	-10.0	-12.8	-15.1	-
$\alpha$	5.00	5.00	3.56	-
$C_l$	1.57	1.71	1.65	-
$C_d$	0.0176	0.0188	0.0179	-
$ m C_l/C_d$	89.4	91.0	91.7	-
Iterations	3	3	6	12
<b>Evaluations HF/LF</b>	3/64	3/60	6/118	12/242
Total runtime [hours]	$\approx 10.4$	$\approx 8.1$	$\approx 15.5$	$\approx 34.0$
Runtime Gain Ratio	$\approx 72$	$\approx 50.3$	$\approx 46.4$	$\approx 53.9$

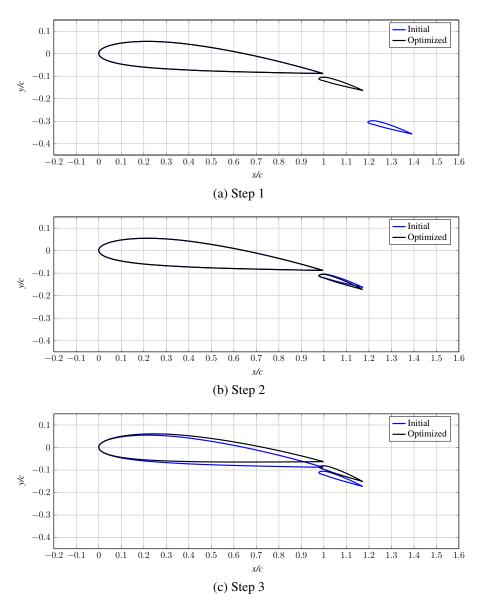


Figure A.5: Comparison of initial and optimized trawl-door shape of a trawl-door with main element and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping. The flow is parallel to the x/c axis

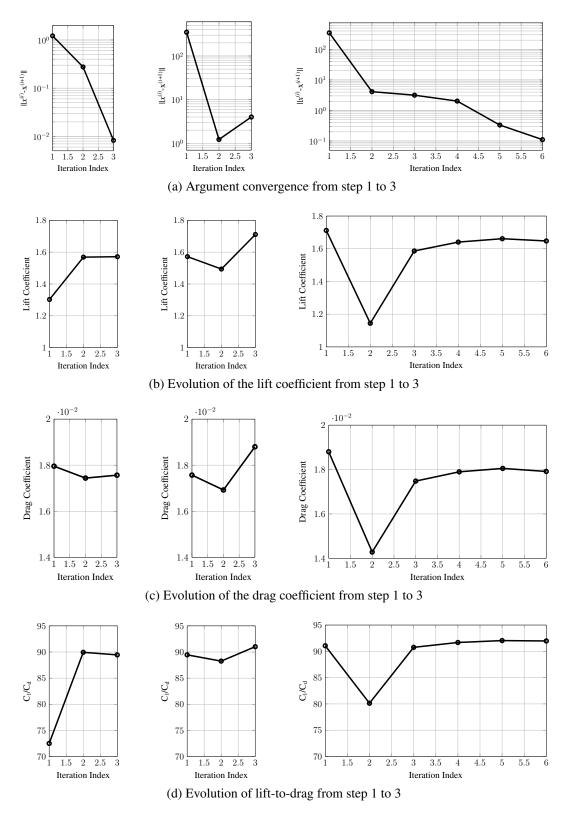


Figure A.6: Lift-to-Drag optimization history of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

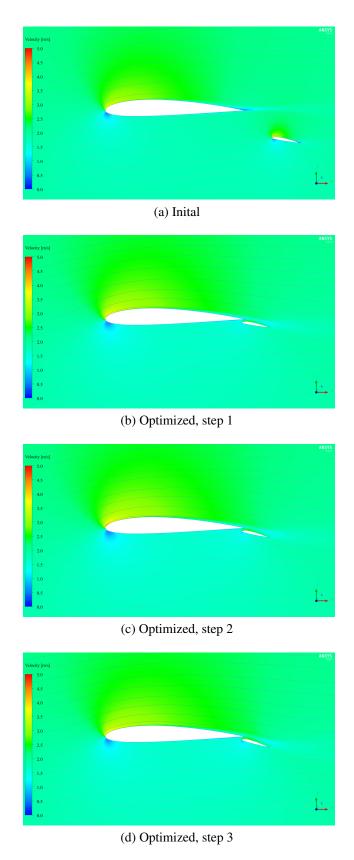


Figure A.7: Velocity contour comparison between the initial and optimized designs of each step in sequential approach.

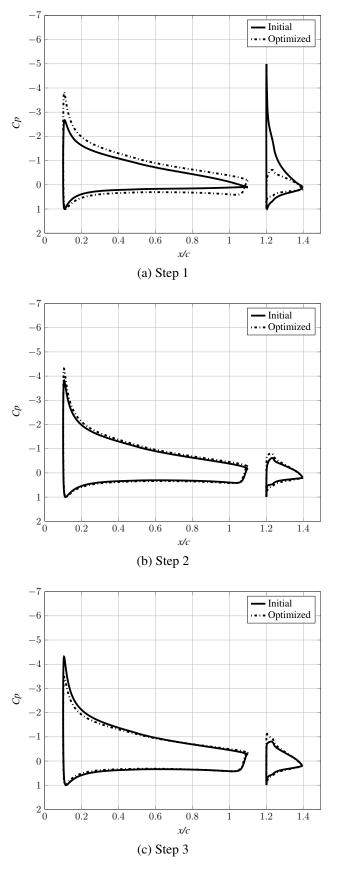


Figure A.8: Pressure distribution comparison between initial and optimized shapes of a trawl-door with main element and trailing edge flap. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

Table A.3 shows comparison between the result obtained by the single- and multi-step approaches. The results are similar regarding location of the flap, but there is some difference in rotation of the flap and angle of attack. Still the lift-to-drag between ratio for these results is similar.

Table A.3: Optimization result comparison for trawl-door with main element and flap with both using the single- and multi-level approaches

	Initial	Optimized	Optimized Multi-step Approach
x/c <sub>slat</sub>	1.200	1.00	0.981
y/c <sub>slat</sub>	-0.200	-0.0222	-0.0259
$\theta$	-10.0	-17.3	-15.1
$\alpha$	5.00	5.89	3.56
$C_{l}$	1.5	2.04	1.65
$C_d$	0.0176	0.0223	0.0179
$C_l/C_d$	89.4	91.6	92.0
Iterations	-	13	12
<b>Evaluations (HF/LF)</b>	-	13/256	12/242
<b>Total runtime [hours]</b>	-	$\approx 39.0$	$\approx 34.0$
Runtime Gain Ratio	-	$\approx 52.2$	$\approx 53.9$

Figure A.9 show the optimizers path for the first step. Here the cyan circles represents where high-fidelity evaluations were performed in the  $x_{flap}/c, y_{flap}/c$  plane while the lines represent the path. The magenta dots represent where low-fidelity evaluations were made. The optimizer moves quickly from infeasible initial location of the flap after only few low-fidelity evaluations, to a more feasible area where the model converges. The  $C_l/C_d$  contour represents the low-fidelity model.

Figure A.10 shows the performance of  $C_l/C_d$  as a function of  $\alpha$  (the most sensitive design variable) for the initial, optimized in one step and optimized using multi-step approach trawl-door shapes with main element and flap. We can see that the performance has improved considerably from the initial shape, but still we should be able to obtain even better results. The optimization using single-step approach gives better results, but the peak on  $C_l/C_d$  curve were not located by the optimizer. The multi-step approach gives the highest  $C_l/C_d$  value and the optimizer locates the top of the curve, but still the one-step shape has m ore potential in obtaining higher  $C_l/C_d$  value

This behaviour is considered to be a cause of numerical noise in the low fidelity model that makes the optimizer oscillate in a noisy solution near the optimum value. It is clear that refinement of the low-fidelity model is needed.

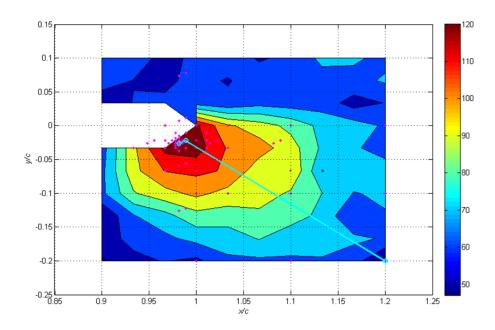


Figure A.9: Optimizers path for step 1 using multi-step approach

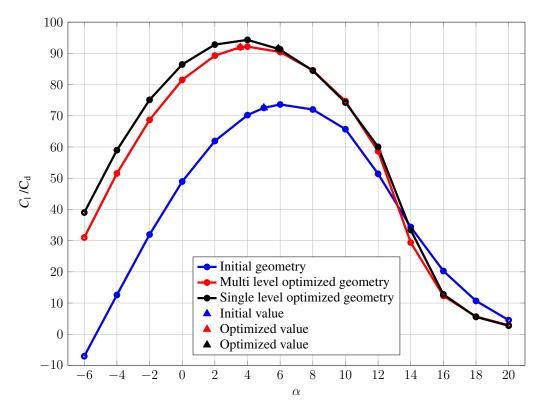


Figure A.10:  $C_l/C_d$  performance as a function of angle of attack for the optimized trawldoor geometries with main element and trailing edge flap

## **A.3** Main Element With Leading Edge Slat

Following optimization run has the same trawl-door geometry as the case before. The high-fidelity model were selected with about 517,000 elements (grid 9). The flow solver convergence criteria were set to 5,000 iterations, or when residuals had dropped by six orders of magnitude. The low-fidelity model were the same as the high-fidelity one, but with coarser mesh discretization and relaxed convergence criteria. The selected grid had about 20,000 elements and the model were considered converged after 1,000 iterations, or when residuals had dropped by 3 orders in magnitude. The low fidelity model resulted in being around 75 times faster than the high-fidelity one.

The objective is to maximize the lift over drag ratio L/D. The design variables are  $x_{flap}/c$  and  $y_{flap}/c$  locations of the leading edge of the slat with respect to the main element leading edge, rotation of the slat  $\theta$  with respect to the main-element chord line and the angle attack  $\alpha$ . The search domain were set as:  $-0.40 \le x_{flap}/c \le 0.20$ ,  $-0.20 \le y_{flap}/c \le 0.0$ ,  $0.0 \le \theta_{flap} \le 30.0$  deg,  $0.00 \le \alpha \le 15.0$  deg. The initial design were  $x_{flap}/c = -0.20$ ,  $y_{flap}/c = -0.08$ ,  $\theta_{flap} = 25.0$  deg and  $\alpha = 5.0$  deg.

The initial and optimized results are shown in table A.4 and corresponding trawl-door shapes are shown in figure A.11. The optimized design has  $x_{slat}/c = -0.20$ ,  $y_{slat}/c = -0.0467$ ,  $\theta_{flap} = 25.3$  and  $\alpha = 9.33$  with  $C_l = 1.32$  and  $C_d = 0.0165$  giving a lift-to-drag ratio of 79.8. Compared to the initial design that has  $C_l = 0.698$  and  $C_d = 0.0188$  giving a lift-to-drag ratio of 37.1, the lift coefficient has increased about 47.1% and the drag coefficient decreased about 13.9% resulting in an increase of 53.5% of the lift-to-drag ratio. The optimization algorithm required 4 high-fidelity model evaluations and 78 low fidelity ones in a runtime of approximately 14 hours.

Table A.4: Comparison of initial and optimized results of a trawl-door with a main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints using multi-point space mapping

	Initial	Optimized	Relative difference
x/c <sub>slat</sub>	-0.200	-0.200	-
y/c <sub>slat</sub>	-0.0800	-0.0467	-
heta	25.0	25.3	-
$\alpha$	5,00	9.33	-
$C_l$	0.698	1.32	47.1%
$C_d$	0.0188	0.0165	-13.9%
$\mathrm{C_l}/\mathrm{C_d}$	37.1	79.8	53.5%
<b>Evaluations HF/LF</b>	-	4/78	-
Runtime gain ratio	-	$\approx 75$	-
Total runtime [hours]	-	$\approx 14$	-

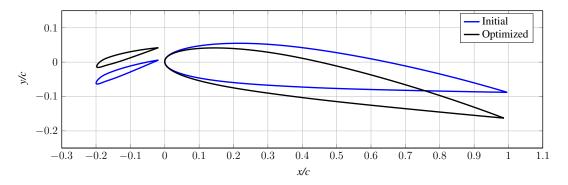


Figure A.11: Comparison of initial and optimized trawl-door shape of a trawl-door with main element with leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping. The flow is parallel to the x/c axis

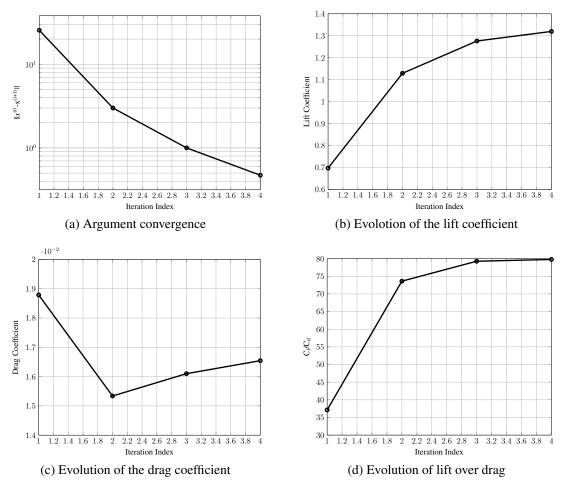


Figure A.12: Lift-to-Drag optimization history of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

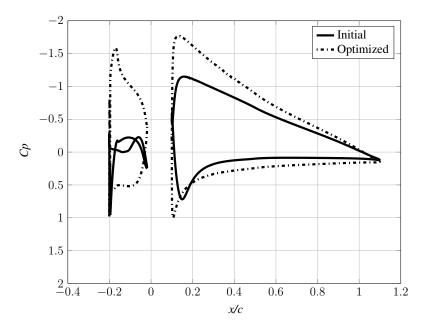


Figure A.13: Pressure distribution comparison between initial and optimized shapes of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

#### A.4 Main Element with Leading Edge Slat Using Multi-Step Approach

Because of convergence oscillations in the results above, a multi-step optimization approach were carried out. The purpose were the same is in the case of main element with leading edge flap, to both to find out if the optimization process would be smoother using fewer design variables in the beginning of the process, and if it would have an effect later in the process if some of the design variables were already close to optimum design. The sett up were the same as before, except that the optimization process were carried out in following three steps.

- 1. Step 1: Design variables for the first optimization step are  $x_{slat}/c$  and  $y_{slat}/c$  locations of the leading edge of the slat with respect to the main element leading edge. The search domain were set as  $-0.40 \le x_{slat}/c \le 0.20$  and  $-0.30 \le y_{slat}/c0.3$  and the initial design were  $x_{slat}/c = -0.20$ ,  $y_{slat}/c = -0.08$ ,  $\theta_{slat} = 25.0$  deg and  $\alpha = 8.00$  deg where  $\theta_{slat}$  and  $\alpha$  were held constant.
- 2. Step 2: In the second optimization step the design variables are  $x_{slat}/c$ ,  $y_{slat}/c$  and rotation of the slat  $\theta$  with respect to the main-element chord line. The search domain were set as  $-0.40 \le x_{slat}/c \le 0.20$  and  $-0.30 \le y_{slat}/c0.3$  and  $20.0 \le \theta_{slat} \le 50.0$ . The initial design for  $x_{slat}/c$  and  $y_{slat}/c$  were based on results obtained in step 1,  $\theta_{slat}$  were set to 25.0 and the angle of attack were constant as  $\alpha = 8.0$  deg.
- 3. Step 3: In the third step the design variables are  $x_{slat}/c$ ,  $y_{slat}/c$ ,  $\theta$  and the angle attack  $\alpha$ . The search domain were set as  $-0.40 \le x_{slat}/c \le 0.20$  and  $-0.30 \le y_{slat}/c0.3$ ,  $20.0 \le \theta_{slat} \le 50.0$  deg,  $0.00 \le \alpha \le 20.0$  deg. The initial design for  $x_{slat}/c$ ,  $y_{slat}/c$  and  $\theta$  were based on results obtained in step 2 and the angle of attack were set to 8.0 deg.

The objective is to maximize the lift-to-drag ratio with no additional constraints.

The optimization results are shown in table A.5, and the initial and optimized trawl-door shapes are shown in figure A.14. The optimized design has  $x_{slat}/c = -0.180$ ,  $y_{slat}/c = -0.0430$ ,  $\theta_{slat} = 23.7$  deg, and  $\alpha = 8.33$  deg, with  $C_l = 1.21$  and  $C_d = 0.0155$  giving a lift-to-drag ratio of 78.1. The SM optimizer required 3 high-fidelity model evaluations and 66 low-fidelity ones for the first step, 4 high-fidelity model evaluations and 90 low-fidelity ones for the second step and 3 high-fidelity model evaluations and 71 low-fidelity ones for the last step resulting in a total of 10 high-fidelity model evaluations and 227 low-fidelity ones. The number of high-fidelity evaluations could have been reduced by

2, if the initial evaluation of runs 2 and 3 would have had knowledge of what happened in steps 1 and 2. The overall runtime were approximately 35 hours, with a runtime gain ratio of 80. Figure A.15 shows convergence history of the optimization process for each step.

Figure A.17 shows a lift-to-drag contour plot obtained by the low-fidelity model representing solution change as a function of  $x_{slat}/c$  and  $y_{slat}/c$  for the same trawl-door shape as optimized in run 1. It is emphasized that the low-fidelity model is a cheap representation of the high-fidelity one. According to the plot a feasible area is in vicinity of  $x_{slat}/c \approx -0.18$  and  $y_{slat}/c \approx -0.04$ , Compared to  $x_{slat}/c = -0.177$  and  $y_{slat}/c = -0.0430$  found by the optimizer.

Table A.5: Comparison of initial and optimized results of a trawl-door with a main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints using multi-point space mapping

	Step 1	Step 2	Step 3	Total
X	$[xy]^T$	$[xy\theta]^T$	$[xy\theta\alpha]^T$	-
x/c <sub>slat</sub>	-0.177	-0.180	-0.180	-
y/c <sub>slat</sub>	-0.0430	-0.0430	-0.0430	-
heta	25.0	23.7	23.7	-
$\alpha$	8.00	8.00	8.33	-
$C_l$	1.16	1.17	1.21	-
$C_d$	0.0153	0.0152	0.0155	-
$\mathrm{C_l}/\mathrm{C_d}$	76.1	77.1	78.1	-
Iterations	3	4	3	10
<b>Evaluations HF/LF</b>	3/66	4/90	3/71	10/227
<b>Total runtime [hours]</b>	$\approx 10.4$	$\approx 14.2$	$\approx 10.4$	$\approx 35$
Runtime Gain Ratio	$\approx 85.9$	≈ 83.3	$\approx 85.7$	$\approx 80$

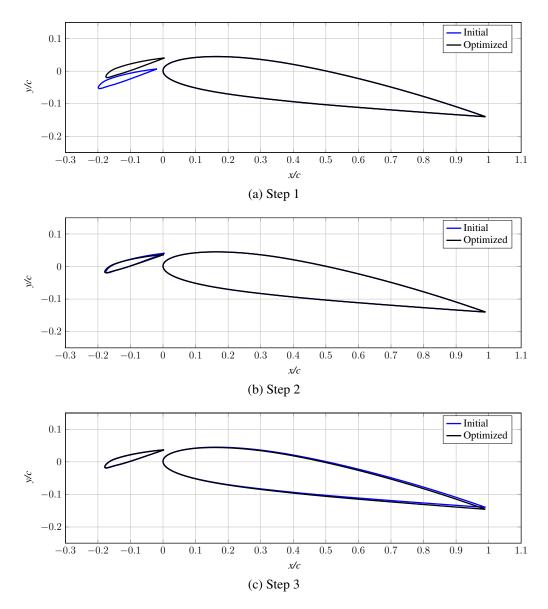


Figure A.14: Comparison of initial and optimized trawl-door shape of a trawl-door with main element and leading edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping. The flow is parallel to the x/c axis

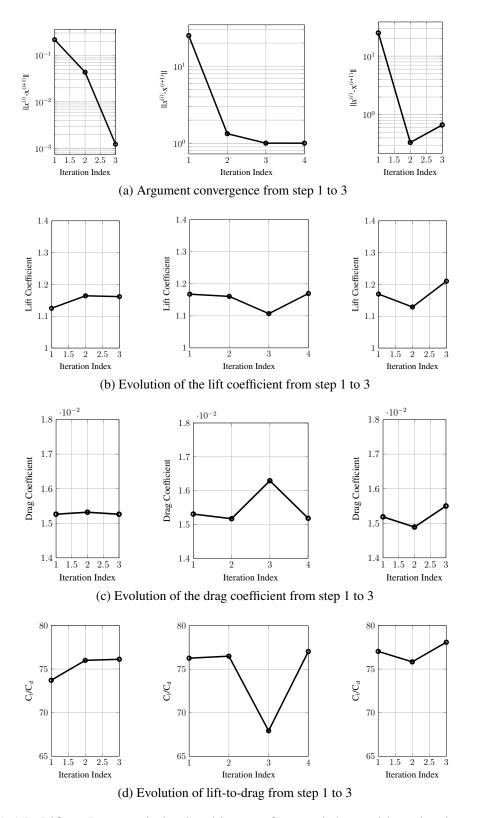


Figure A.15: Lift-to-Drag optimization history of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

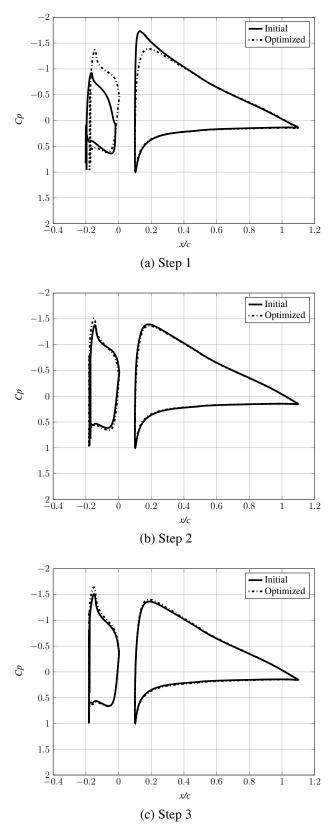


Figure A.16: Pressure distribution comparison between initial and optimized shapes of a trawl-door with main element and leading-edge slat. The objective is to maximize  $C_l/C_d$  with no additional constraints, using multi-point space mapping.

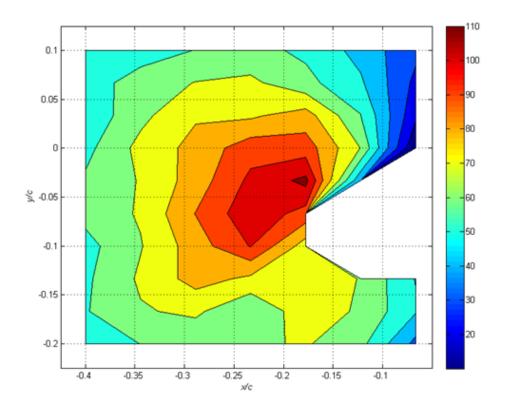


Figure A.17: Lift-to-drag contour plot obtained by the low fidelity model

Table A.6 shows comparison between the result obtained by the single- and multi-step approaches. The results are similar regarding location of the slat in  $y_{slat}/c$ , but there are some difference in  $x_{slat}/c$  direction, rotation of the slat and angle of attack. Still the lift-to-drag between ratio for these results is similar, but the single-step approach obtains higher lift-to-drag ratio that is in contrast with a trawl-door with main element and trailing edge flap. There is also large difference in computational time where the multi-step approach is more than two times longer to compute than the single-step one.

Figure A.18 shows the performance of  $C_l/C_d$  as a function of  $\alpha$  for the initial shape, optimized shape for drag minimization subjected to constraints on lift and optimized shape where the objective is to maximize  $C_l/C_d$ . The performance has improved considerably from the initial shape, but still we should be able to obtain even better results. Again the optimizer fails to identify the true maximum at the top of the lift-to-drag curve, even further confirming that the noise in the low-fidelity model is unacceptable.

Table A.6: Optimization result comparison for trawl-door with main element and slat between the single- and multi-level approaches

	Initial	Optimized	Optimized Multi-step Approach
x/c <sub>slat</sub>	-0.20	-0.20	-0.180
y/c <sub>slat</sub>	-0.080	-0.0467	-0.0430
$\theta$	25.0	25.3	23.7
$\alpha$	5.00	9.33	8.33
$C_l$	0.698	1.32	1.21
$C_d$	0.0188	0.0165	0.0155
$C_l/C_d$	37.1	79.8	78.1
Iterations	_	4	10
<b>Evaluations (HF/LF)</b>	-	4/78	10/227
<b>Total runtime [hours]</b>	_	$\approx 14$	pprox 35
Runtime Gain Ratio	-	$\approx 75$	$\approx 80$

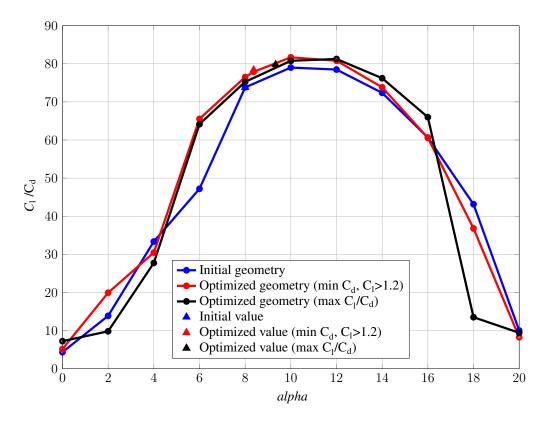


Figure A.18:  $C_l/C_d$  performance as a function of angle of attack for the optimized trawldoor geometries with main element and leading edge slat

# Appendix B

# **Grid Convergence Studies**

Grid convergence study results shown here are in accordance with methods described in chapter 3.4.

#### **B.1** Main Element and Trailing Edge Flap

Table B.1 Shows location of design variables during the study.

Table B.1: Location of flap during grid convergence study

	Loacation
x/c <sub>flap</sub>	1.02
y/c <sub>flap</sub>	-0.0200
$oldsymbol{ heta}$	-10.0
$\alpha$	8.00

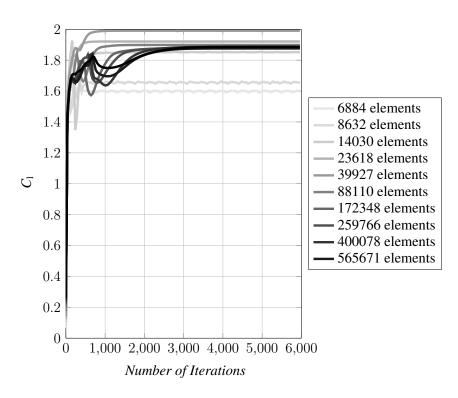


Figure B.1: Convergence of lift coefficient  $C_l$  for ten grids with variable density

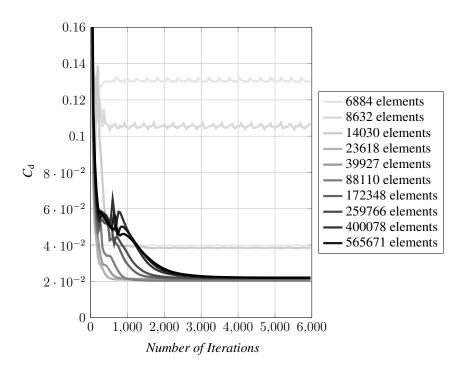


Figure B.2: Convergence of lift coefficient  $C_d$  for ten grids with variable density

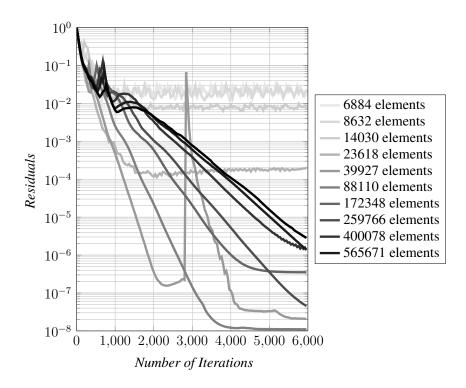


Figure B.3: Solution residuals for ten grids used in the grid convergence study

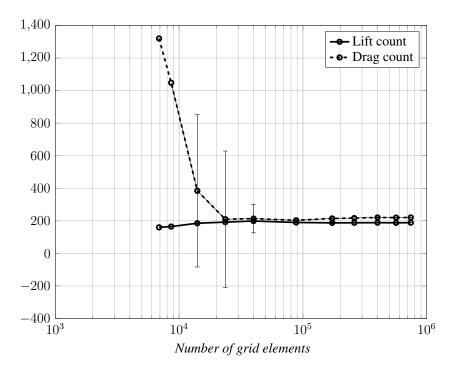


Figure B.4: Grid convergence study for a trawl-door with uncertainty estimation

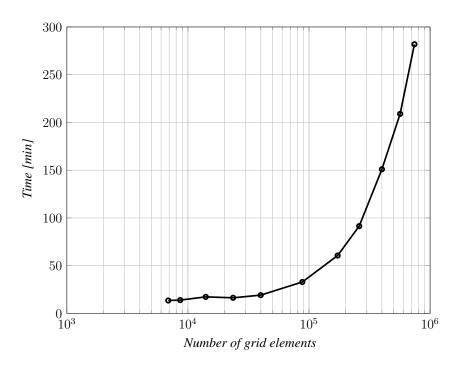


Figure B.5: Computational time as a function of grid density

# **B.2** Main Element With Leading Edge Slat and Trailing Edge Flap

Table B.2 Shows location of design variables during the study.

Table B.2: Location of slat and flap during grid convergence study

	Loacation
x/c <sub>slat</sub>	-0.200
y/c <sub>slat</sub>	-0.0800
$ heta_{ m slat}$	25.0
x/c <sub>flap</sub>	1.01
y/c <sub>flap</sub>	-0.02
$\theta$	-10.0
lpha	5.00

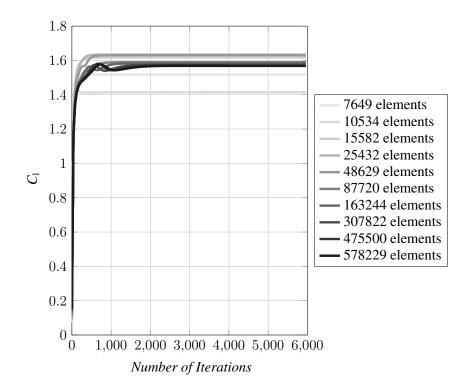


Figure B.6: Convergence of lift coefficient  $C_l$  for ten grids with variable density

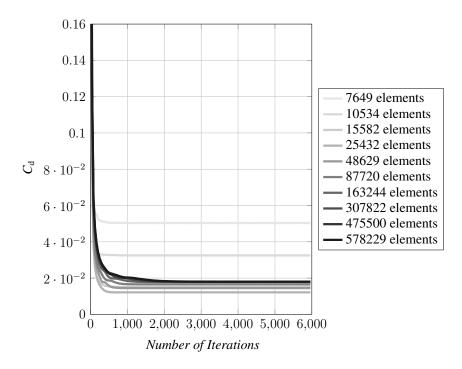


Figure B.7: Convergence of lift coefficient  $C_d$  for ten grids with variable density

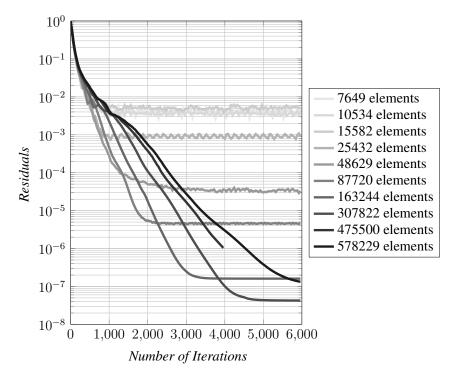


Figure B.8: Solution residuals for ten grids used in the grid convergence study

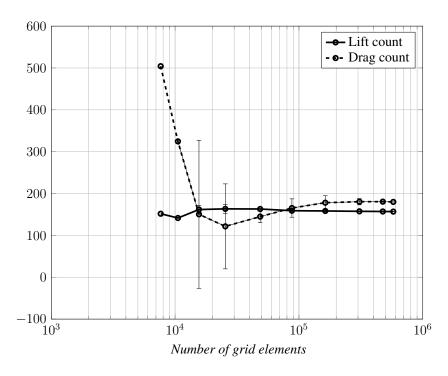


Figure B.9: Grid convergence study for a trawl-door with uncertainty estimation

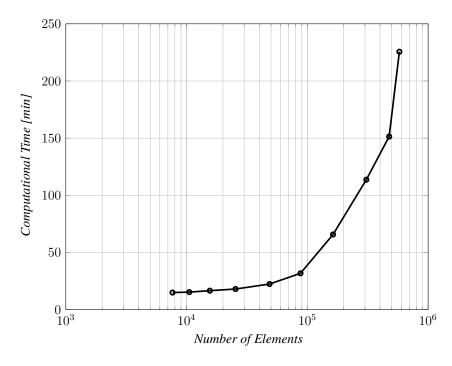


Figure B.10: Computational time as a function of grid density

## **Appendix C**

### **Noise Analysis**

Models in this chapter were considered to have to coarse grids, since the level of noise were considerable. The results were obtained according to chapter 3.6, where additional information of the analysis can be found.

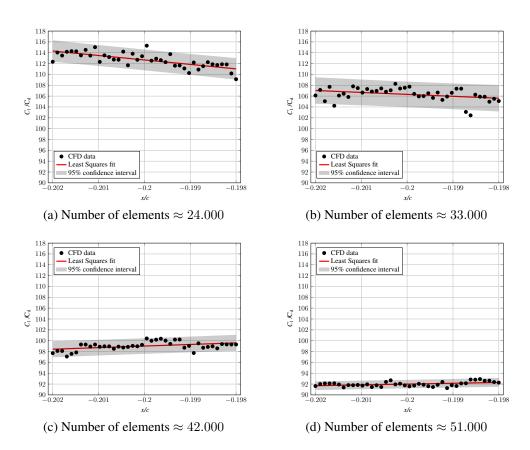


Figure C.1: Noise in design variable x/c of the low fidelity model at different grid discretization, the model is considered converged after 1500 iterations or when residuals have reached 5e-3

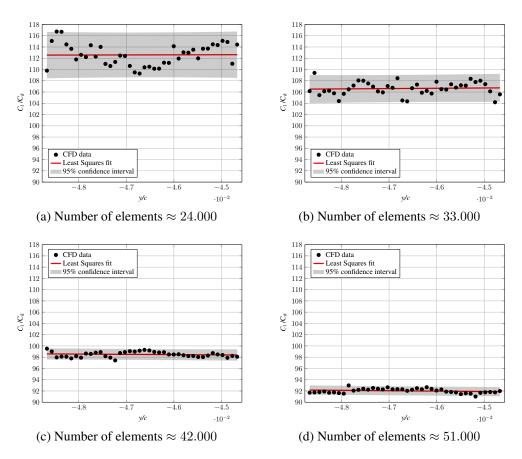


Figure C.2: Noise in design variable y/c of the low fidelity model at different grid discretization, the model is considered converged after 1500 iterations or when residuals have reached 5e-3

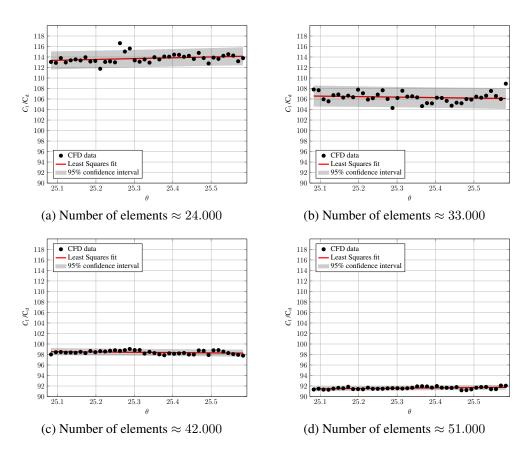


Figure C.3: Noise in design variable  $\theta$  of the low fidelity model at different grid discretization, the model is considered converged after 1500 iterations or when residuals have reached 5e-3

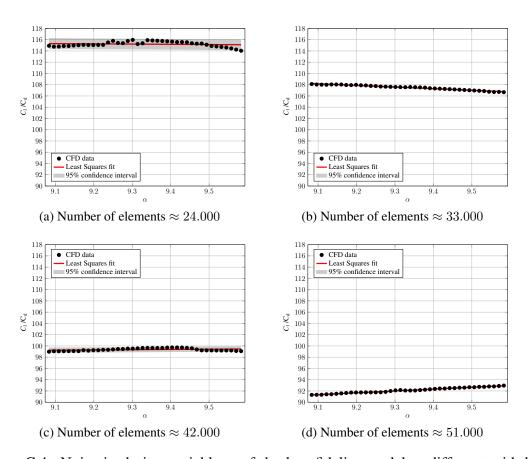


Figure C.4: Noise in design variable  $\alpha$  of the low fidelity model at different grid discretization, the model is considered converged after 1500 iterations or when residuals have reached 5e-3

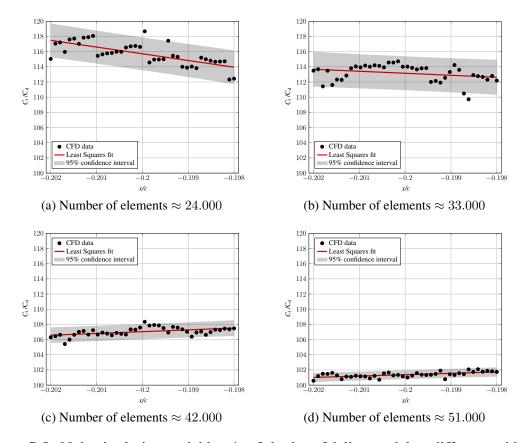


Figure C.5: Noise in design variable x/c of the low fidelity model at different grid discretization, the model is considered converged after 2.000 iterations or when residuals have reached 5e-4

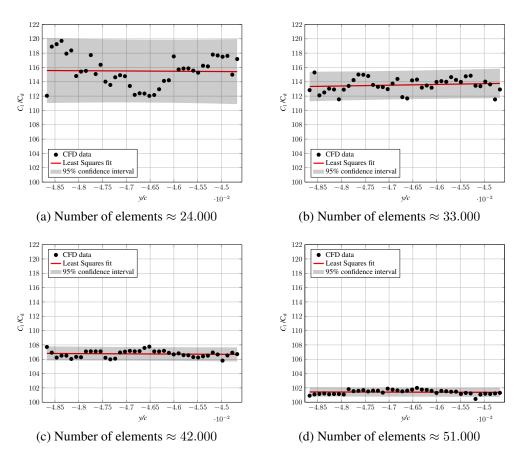


Figure C.6: Noise in design variable y/c of the low fidelity model at different grid discretization, the model is considered converged after 2.000 iterations or when residuals have reached 5e-4

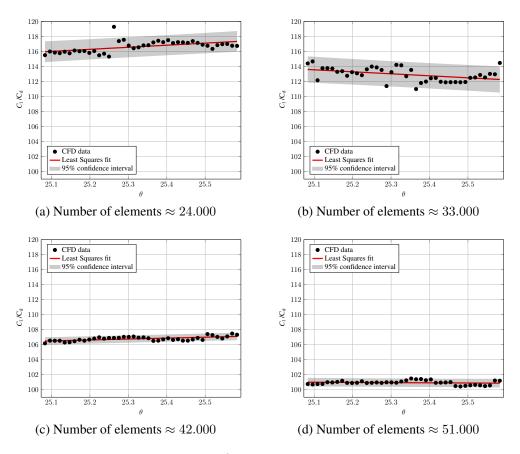


Figure C.7: Noise in design variable  $\theta$  of the low fidelity model at different grid discretization, the model is considered converged after 2.000 iterations or when residuals have reached 5e-4

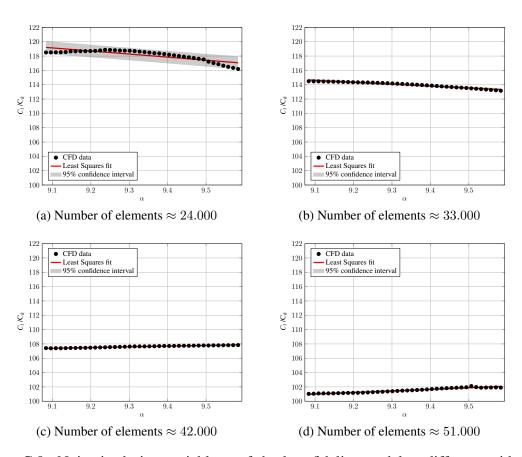


Figure C.8: Noise in design variable  $\alpha$  of the low fidelity model at different grid discretization, the model is considered converged after 2.000 iterations or when residuals have reached 5e-4

# **Appendix D**

### **Additional Validation Data**

Validation results shown here are in accordance with methods described in chapter 3.5.

#### D.1 Model NASA A L1N1WT

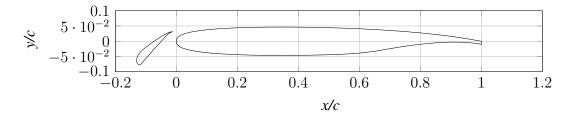


Figure D.1: Geometry of NASA Model A L1N1WT airfoil used for the validation

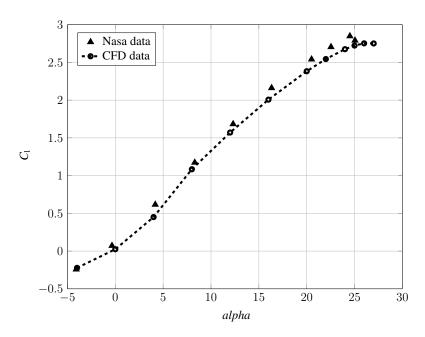


Figure D.2:  $C_l$  as a function of  $\alpha$  comparison between experimental data using NASA Model A L1N1WT airfoil and the CFD model

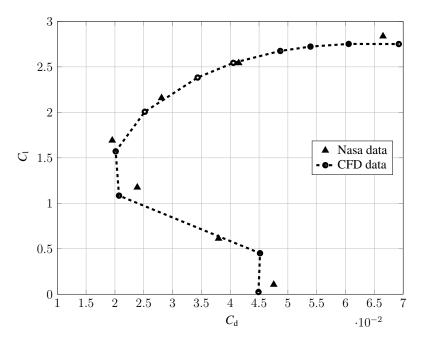


Figure D.3: Drag polar plot comparison between experimental data of NASA Model A L1N1WT airfoil and the CFD model

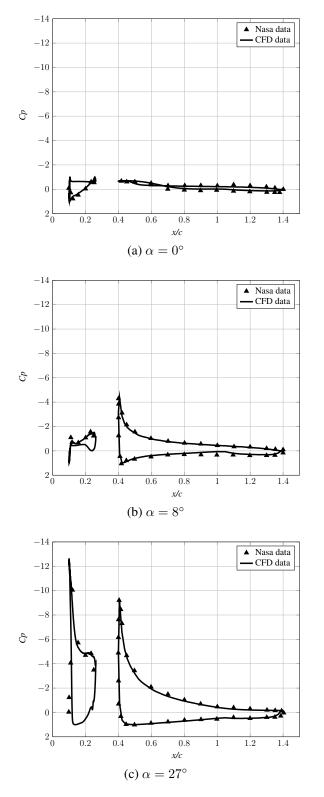


Figure D.4: Comparison between experimental data and the CFD model on pressure coefficient  $C_p$  over surface of a NASA Model A L1N1WT airfoil, consisting of a main element and slat at three angles of attack



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